

# SRI LANKA MICROENTERPRISE SURVEY

## HOUSEHOLD SURVEY

Draft March 9, 2005

Name of Household Head	<u>HHN</u>
Address of Dwelling	<u>AD1</u>
Telephone number	<u>TN</u>
Name of Respondent	<u>RN</u>
How are you commonly known in the area?	<u>CN</u>
Relationship to Household Head	<u>RH</u>

	DEGREES (ddd)	MINUTES (mm.mmm)	DIRECTION
GPS Latitude:	<u>LA</u>	•	<u>N</u>
GPS Longitude:	<u>AP</u>	•	<u>E</u>

Province	<u>PR</u>	D.S. Division	<u>DS</u>
District	<u>D1</u>	G.N. Division	<u>GN</u>

Interviewer \_\_\_\_\_

Date completed

Supervisor \_\_\_\_\_

Date completed

Data entry operator \_\_\_\_\_

Date completed

DAY	MONTH	YEAR

*Sketch the location of the dwelling*  
*(just give enough detail to allow supervisors to find the same dwelling)*

## Section 1. Household Roster

Name all persons who usually live in this household, including individuals temporarily living elsewhere or temporarily working in another location

Household Member ID	Q.1	Q.2	Q.3	Q.4	Q.5	Q.6			Q.7		Q.8	Q.9	Q.10	Q.11	
	NAME	SEX	Relationship to household head	AGE	Marital Status	PLACE OF BIRTH			Arrival in current city		Current Residence	Reason for Absence	Has ____ ever worked outside of Sri Lanka?	Residence at time of tsunami	
		1=M 2=F	What is (Name's) relationship to the Principal Applicant? [See Codes Below]		1=Never Married 2=Married 3=Widowed 4=Divorced 5=Separated	In what country was the individual born?  1 = Sri Lanka 2 = Other (Specify)	In what district was the individual born?  Write name of District	In what city or village was the individual born?  Write name of City or village	In what month and year did (Name) first come to [current city of residence]  [Interviewer: ask only of those born in a different location.]			Is (Name) currently living in this dwelling?  1=Yes->Q10 2=No	Why is (Name) not living here at the moment?  1=Moved out because of tsunami 2=Seasonal Work 3=Working Overseas 4=Other(Specify)	1= Yes 2=No	Was ____ living in the household at the time of the tsunami?  1= Yes 2=No
		Code	Code	Years	Code	Country	District	City/village	Month	Year	Code	Code	Code	Code	
S1_1		Q1_2_1	Q1_3_1	Q1_4_1	Q1_5_1	Q1_6a_1	Q1_6b_1	Q1_6c_1	Q1_7m_1	Q1_7y_1	Q1_8_1	Q1_9_1	Q1_10_1	Q1_11_1	
2															
3															
4															
5															
6															
7															
8															
9															
S1_10		Q1_2_10	Q1_3_10	Q1_4_10	Q1_5_10	Q1_6a_10	Q1_6b_10	Q1_6c_10	Q1_7m_10	Q1_7y_10	Q1_8_10	Q1_9_10	Q1_10_10	Q1_11_10	
11															
12															
13															
14															
15															
16															
17															
18															

[Relationship to Head: 01=Head, 02=Wife/Husband, 03=Son/Daughter, 04=Son-in-law/Daughter-in-law, 05=Grandchild/Great Grandchild, 06=parent of head or spouse, 07=other relative, 08=domestic employee, 09=non-relative]

**NOTE:** A household is a group of people sharing expenses and living together

# Section 1. Household Roster

Household Member ID	Q.12 What activities is ____ involved in at the present  {Interviewer: See activities codes below}	Q.13 If ____ is presently employed, approximately how many people work at the enterprise of his/her principal employment?	Q.14 If ____ is presently employed, what is the sector in which he/she works in his/her principal job?  Write down the name of the sector	Q.15 If ____ is presently employed, how many hours does he/she work in a normal week?	Q.16 Does ____ currently own an enterprise or work on his/her own account?  1=Yes 2=No	Q.17 What activities was ____ involved in just before the tsunami?  {Interviewer: See activities codes below}	Q.18 If ____ was employed just before the tsunami, how many hours did he/she work in a normal week?
	Code	Number	Sector	Hours	Code	Code	Hours
S2_1	Q1_12_1	Q1_13_1	Q1_14_1	Q1_15_1	Q1_16_1	Q1_17_1	Q1_18_1
2							
3							
4							
5							
6							
7							
8							
S2_10	Q1_12_10	Q1_13_10	Q1_14_10	Q1_15_10	Q1_16_10	Q1_17_10	Q1_18_10
11							
12							
13							
14							
15							
16							
17							
18							

Code for activities involved: 1=salaried/wage employee, 2=self-economic activities, 3=employer, 4=unpaid family worker, 5=expecting a job (unemployment), 6=student, 7=housekeeping, 8=retired(pension), 9=economically inactive, 10=non-schooling kid, 11=other non-economic activities

## Section 1. Household Roster

Household Member ID	Q.19. What is the highest level of education ____ has obtained (number of years)?	Q.20 Has ____ received any formal training with certificate?  1=Yes 2=No	Q.21 What ethnic group does ____ belong to?  1= Sinhalese 2=Sri Lankan Tamil 3=Indian Tamil 4=Sri Lanka Moor 5=Burher 6=Malay 7=other (specify _____)	Q.22 What religious group does ____ belong to?  1= Buddhist 2=Hindu 3=Islam 4=Roman Catholic 5=Other Christian 6=other (specify _____)	Q.23 What languages does ____ speak?  1=English 2=Sinhala 3=Tamil  <i>[Interviewer: speaking means being able to have a conversation about your family in this language]</i>	Q.24 Father's highest level of education attained  In years	Q.25 Mother's highest level of education attained  In years	Q.26 When you were 12 years of age, what was your father's primary occupation?  <i>see activity codes below</i>	Q.27 When you were 12 years of age, what was your mother's primary occupation?  <i>see activity codes below</i>	Q.28	Q.29	Q.30
	Years	Code	Code	Code	Codes	Years	Years	Code	Code	Code	Code	Code
S3_1	Q1_19_1	Q1_20_1	Q1_21_1	Q1_22_1	Q1_23a1 Q1_23b1 Q1_23c1	Q1_24_1	Q1_25_1	Q1_26_1	Q1_27_1	Q1_28_1	Q1_29_1	Q1_30_1
2												
3												
4												
5												
6												
7												
8												
9												
S3_10	Q1_19_10	Q1_20_10	Q1_21_10	Q1_22_10	Q1_23a10 Q1_23b10 Q1_23c10	Q1_24_10	Q1_25_10	Q1_26_10	Q1_27_10	Q1_28_10	Q1_29_10	Q1_30_10
11												
12												
13												
14												
15												
16												
17												
18												

Interviewer: Ask only for household head and spouse

Code for activities involved: 1=salaried/wage employee, 2=self-economic activities, 3=employer, 4=unpaid family worker, 5=expecting a job (unemployment), 6=student, 7=housekeeping, 8=retired(pension), 9=economically inactive, 10=non-schooling kid, 11=other non-economic activities

## SECTION 2

I would now like to ask you several questions about the tsunami.

1. Did your housing structure suffer any damage as a result of the tsunami?

1. Yes
2. No

q2\_1

2. Did any of the contents of your household suffer any damage as a result of the tsunami?

1. Yes
2. No

q2\_2

3. If Yes to either of 1 or 2, did you have to temporarily move out of your house?

1. Yes
2. No

q2\_3

4. How much have you spent to repair the damage?

Amount in Rupees

q2\_4

5. Have you fully repaired the damage already?

1. Yes --> Q 7.
2. No

q2\_5

6. If No, how much more would you have to spend in order to repair the damage?

Amount in Rupees

q2\_6

7. Did you and your family receive any money from family members or friends within Sri Lanka to help you recover from the tsunami damage?

1. Yes
2. No --> Q 9.

q2\_7

8. If Yes, how much in total have you received from relatives/friends living in Sri Lanka?

Amount in Rupees

q2\_8

9. Did you and your family receive any money from family members or friends living outside Sri Lanka to help you recover from the tsunami damage?

1. Yes
2. No --> Q 11.

q2\_9

10. If Yes, how much has the household received from relatives/friends outside Sri Lanka since the tsunami?

Amount in Rupees

q2\_10

11. Have you received any aid from the Government or Non-Government (Churches, community organizations, relief agencies, etc.) sources after the tsunami?

1. Yes
2. No --> Q 13.

q2\_11

12. If Yes, list each source and the amount received from each?

1. Government agency
2. Sri Lankan non-profit organization
3. Foreign non-profit (e.g., Red Cross)
4. Religious organizations
5. other (specify \_\_\_\_\_)

q2\_12\_1


q2\_12\_5

13. Did anyone living in the household in December 2004 die or go missing as a result of the tsunami?

1. Yes Number?
2. No

q2\_13

*Interviewer: If the answer is Yes, go back to the household roster and ask questions 2-5, 12-15 and 19 about each individual who was living in the household and died in the tsunami. All references to "now" should be changed to "in the month of the tsunami". Put an X next to the household member number of each of these people.*

*Then return to ask Question 14.*

14. Were any of the people living in this household in December 2004 injured by the tsunami?

1. Yes
2. No --> Q 16.

q2\_14

15. If yes, how many:

- a. had more minor injuries
- b. were unable to perform normal activities for some period of time
- c. are permanently unable to perform normal activities

q2\_15A

q2\_15B

q2\_15C

16. Is anyone living in the household in December 2004 now living in a different household?

1. Yes Number?
2. No

q2\_16

*Interviewer: If the answer is Yes, go back to the household roster and ask questions 2-5, 12-15 and 19 about each individual who was living in the household and now living elsewhere. All references to "now" should be changed to "in the month of the tsunami". Put a Y next to the household member number of each of these people.*

*Then return to ask Question 17.*

17. Comparing your household income now to your household income in November last year, is your income higher, lower, or the same?

1. Higher
2. Lower
3. Same

q2\_17

18. How much is your total monthly household income now?

(amount in Rupees)

q2\_18

19. How much was your total household income in November 2004?

(amount in Rupees)

q2\_19

SECTION 3

1. Are any of the immediate relatives of the household (parents, brothers and sisters, in-laws, children) currently living outside of Sri Lanka?

1. Yes                      Number?                      q3\_1 q3\_1A
2. No    --> Q. 8

2. What year did the first immediate relative leave the country

q3\_2

3. What year did the most recent immediate relative leave the country

q3\_3

4. Before the tsunami, did any of these family members send money to your household?

1. Yes                      q3\_4
2. No

5. If Yes, were the payments regular or just for special occasions?

1. Regular                      q3\_5
2. Special occasions

6. If Yes, how much did relatives living abroad send, on average?

Amount in Rupees                      q3\_6

Frequency:

1. weekly                      q3\_6A
2. monthly
3. every three months
4. other (specify time period \_\_\_\_\_)

7. How much of this amount was especially sent to help you recover from the tsunami?

Amount in Rupees                      q3\_7

8. Were any of the immediate relatives of the household (parents, brothers and sisters, in-laws, children) not living with you at the time killed or injured by the tsunami?

1. Yes                      Number injured?                      q3\_8A
2. No                      Number killed?                      q3\_8B

9. Did any of your immediate relatives of the household not living with you at the time have their house or business badly damaged or destroyed by the tsunami?

1. Yes                      Number?                      q3\_9
2. No

10. Have you and your family sent money to any family members or friends to help them recover from the tsunami damage?

1. Yes                      q3\_10
2. No

11. If Yes, how much in total have you sent?

Amount in Rupees                      q3\_11

## Section 4. Household expenditures

**Q.1 How much does your household spend in a normal week on:**

Amount in rupees

- a. Groceries and food consumed at home q4\_1A
- Show list of items: cereals, prepared foods, pulses, vegetables, etc.
- b. Food consumed outside the home q4\_1B

**Q.2 How much did your household spend last month on:**

- a. Housing q4\_2a
- Rent, taxes, maintenance, water bills
- b. Fuel and Light
- Electricity, kerosene, wood, gas, match, candles and batteries
- c. Non-durable household goods
- Personal care products, soaps, cleaning materials
- d. Health expenses
- Fees to doctors, hospitals, and costs of medicines
- e. Transport
- train/bus, taxis, school transport fees
- f. Communication
- telephone and postal
- g. Education
- School supplies, school fees, donations
- h. Recreation and entertainment
- Cinema, books, travel, lottery, pets
- i. Household Services q4\_2i
- Laundry, grinding, servants and chsuffers

**Q.3 Over the past 6 months, how much did your household spend on:**

- a. Clothing and textiles q4\_3A
- b. Footwear q4\_3B

**Q.4 Over the past year, how much did your household spend on:**

- a. Electronic goods q4\_4A
- TVs, VCRs, radios, computers
- b. Household furnishings
- furniture, dishes, rugs, toys
- c. Household appliances q4\_4C
- washing machines, refrigerators, sewing machines

## Section 5. Information on the Dwelling

**Q.1 Which of the following best describes this dwelling?**

q5\_1

- 1 = A single house (detached)  
2 = Attached house  
3 = Annexe  
4 = Flat  
5 = Shanty  
6 = Line Room  
7 = Other (Specify \_\_\_\_\_)

**Q.2 Dwelling Tenure**

q5\_2

- 1 = Owned by household  
2 = Owned by employer → Q 5  
3 = Owner by relative (kin or in-laws) → Q 5  
4 = Owned by government → Q 5  
5 = Owned by other person → Q 5  
6 = Squatting / encroaching → Q 7  
7 = Other ( Specify \_\_\_\_\_ ) → Q 5

**Q.3 Do you have a property title for this house?**

q5\_3

- 1 = Yes  
2 = No

**Q.4 What is the total value of the house and the land on which it is built? (Rupees)**

→ Q 7

q5\_4

**Q.5 Do you pay rent for this house?**

q5\_5

- 1 = Yes  
2 = No → Go to Q. 6

**Q.6 How much rent does the HOUSEHOLD pay to the owner (or their agent) for this dwelling?**

q5\_6

- Is this payment per: 1 ☐ Week 2 ☐ Two-week period 3 ☐ Four-week period  
4 ☐ Calendar month 5 ☐ Other period (specify) \_\_\_\_\_

q5\_6A

--> Q. 10

**Q.7 If you had to pay rent for this house, how much and how often would you have to pay for it?**

q5\_7

- Is this payment per: 1 ☐ Week 2 ☐ Two-week period 3 ☐ Four-week period  
4 ☐ Calendar month 5 ☐ Other period (specify) \_\_\_\_\_

q5\_7A

**Q.8 Is your house mortgaged?**

q5\_8

- 1 = Yes  
2 = No → Go to Q. 10

**Q.9 How much and how often do you pay the mortgage payment?**

q5\_9

- Is this payment per: 1 ☐ Week 2 ☐ Two-week period 3 ☐ Four-week period  
4 ☐ Calendar month 5 ☐ Other period (specify) \_\_\_\_\_

q5\_9A

**Q. 10 Floor type**

- 1 = Unprepared earth  
2 = Prepared clay  
3 = Cement  
4 = Wood  
5 = Terrazo  
6 = Tiles  
7 = Brick  
8 = Other ( Specify \_\_\_\_\_ )

q5\_10\_1



q5\_10\_8



<b>Q.11</b>	<b>Wall type</b> 1 = Brick 2 = Cabook 3 = Cement block 4 = Mud 5 = Plank / metal sheet 6 = Cadjan / Palmyrah 7 = Other ( Specify _____ )	<div>q5_11_1</div> <div>↓</div> <div>q5_11_7</div>	<div></div>
<b>Q.12</b>	<b>Roof type</b> 1 = Tiles 2 = Asbestos 3 = Metal Shee 4 = Cadjan / Palmyrah 5 = Other ( Specify _____ )	<div>q5_12_1</div> <div>↓</div> <div>q5_12_5</div>	<div></div>
<b>Q.13</b>	<b>Number of separate rooms (exclude bathrooms)</b>	<div>q5_13</div>	<div></div>

## Section 5: Information on the Dwelling

**Q.14 Where do you obtain drinking water?**

- 1 = Protected well
- 2 = Unprotected well
- 3 = Public tap
- 4 = Tub well
- 5 = Tap within unit
- 6 = Tap outside unit
- 7 = River / tank / streams
- 8 = Other (Specify \_\_\_\_\_)

q5\_14\_1



q5\_14\_8

**Q.15 Do you share this source with other households?**

- 1 = Yes
- 2 = No

q5\_15

**Q.16 Do you have access to a latrine?**

- 1 = No latrine
- 2 = Yes, private latrine
- 3 = Yes, public latrine

q5\_16

**Q.17 What type of latrine do you use?**

- 1 = Water seal
- 2 = Flush toilet
- 3 = Bucket
- 4 = Pit
- 5 = Other (Specify \_\_\_\_\_)

q5\_17\_1



q5\_17\_5

**Q.18 What is the main source of lighting utilized for your dwelling?**

- 1 = No lighting
- 2 = Electricity
- 3 = Kerosene
- 4 = Battery
- 5 = Solar energy
- 6 = Other (Specify \_\_\_\_\_)

q5\_18\_1



q5\_18\_6

**Q.19 What kind of fuel is used most often by your household for cooking?**

- 1 = Firewood
- 2 = Saw dust / paddy husk
- 3 = Kerosene
- 4 = Gas
- 5 = Electricity
- 6 = Other (Specify \_\_\_\_\_)

q5\_19\_1



q5\_19\_6

**Q.20 Does your household have a land line telephone?**

- 1 = Yes
- 2 = No

q5\_20

**Q.21 Does anyone living in the household have a cellular phone?**

- 1 = Yes
- 2 = No

q5\_21

## Section 6. Inventory of Durable Goods

**Q.1** Do the members of this household own any of the following items, or did they own them before the tsunami?

PUT A CROSS X IN THE APPROPRIATE BOX FOR EACH ITEM

*[Interviewer: for each item ask whether they currently own it, whether they owned it in November 2004, and whether it was damaged by the tsunami.]*

	Code	Currently own	Owned in Nov 2004	Damaged by tsunami
Furniture	401	q6_1A_1	q6_1B_1	q6_1C_1
Clocks and watches	402			
Kerosene, gas or electric cooker	403			
Iron and heaters	404			
Refrigerator or freezer	405			
Fans	406			
Sewing machines	407			
Radio, cassette players, CD players and record players	408			
Television sets, VCR, DVDs	409			
Bicycles and tricycles	410			
Motorcycles and scooters	411			
Motor cars, vans	412			
Cameras and projectors	413			
Pressure lamps /petromax	414			
Other durable goods (specify _____)	415			
Gold Jewellery	416	q6_1A_16	q6_1B_16	q6_1C_16

**Q.2.** Has the household sold any assets since the tsunami?

1. Yes

2. No

q6\_2

☐

**Q. 3** If yes, what is the value of assets sold, in rupees? q6\_3

## Section 7: Follow-up Information

We would like to contact you for a follow-up interview in one year to ask a shorter set of questions about your household. I would therefore like to ask you for the names of a few people who will always be able to put us in touch with you in case we can't reach you at your home.

**Q7 1**

Q.1. By what name are you known to people around here?

---

**Q7 2**

Q.2. *INTERVIEWER CHECK: does the respondent live alone?*

1 = Yes (Go to Question 3)

2 = No

Q2a. I'd like to get the name of someone who iscurrently living with you, but who is likely to stay at the same address even if you move.

**Q7\_2aFN1**

FIRST NAME

**Q7\_2aFN2**

FAMILY NAME/SURNAME

OR

1=N/A, ENTIRE HOUSEHOLD WOULD MOVE

Q2b. What is this person's relationship to you (e.g. parent, grandparent, aunt, sister, friend)?

**Q7\_2B**

Q3a. Now, I'd like to get the name of a friend or relative who does not live with you at this address but who lives in Sri Lanka and who will know how to get in touch with you in case you move.

**Q7\_3aFN1**

FIRST NAME

**Q7\_3aFN2**

FAMILY NAME/SURNAME

**Q7\_AD1**

STREET ADDRESS

**Q7\_TO**

SUBURB AND CITY

**Q7\_TN**

TELEPHONE NUMBER

Q3b. What is this person's relationship to you (e.g. parent, grandparent, aunt, sister, friend)?

**Q7\_3B**

*Interviewer: Ask if you can now speak with the entrepreneur selected at the end of the household screening survey. If he is not available, ask how/when you might speak with him.*