

Front Cover

**SRI LANKA MICROENTERPRISE SURVEY
ENTERPRISE SURVEY
Round 9 (April 2007)**

(IAC)
(ITR)

Enterprise Code Number

Gifts (Torches/Batteries) Distribution:
1=Yes, 2=No

ON1 Full Name of Business Owner		(ION1)	
OS Sex	1=Male 2=Female	(IOS)	
BN Business Name:		(IBN)	
AD1 Address of Business		(IAD1)	
AD2		(IAD2)	
AD3		(IAD3)	
TN Telephone number		(ITN)	
CN How are you commonly known in the area?		(ICN)	

TS Tsunami Effect: 1=Direct Effect, 2=Indirect Effect, 3=No Effect
Nature of the Business

(ITS)

PR. Province	(IPR)	DS D.S. Division	(IDS)
DI District	(IDI)	GN G.N. Division	(IGN)

Interviewer

Supervisor

Data entry operator

Date completed

Date completed

Date completed

DAY	MONTH	YEAR

(just give enough detail to allow supervisors to find the same place)

SECTION M: Information on household which has changed location

Interviewer: If the household has moved, please use the contact information gathered in the previous survey to identify individuals who might know where the household now resides. Record this information on this page.

M.1 Interviewer: Is the household still living in the same house that it was in as at the last survey round?

1. Yes ----> Section 1 (IM1)
2. No ----> Go to M2

M.2 If the household has moved, what is the new address?

MAD1 _____ (IM2AD1)

MAD2 _____ (IM2AD2)

MAD3 _____ (IM2AD3)

MTN New telephone number _____ (IM2TN)

M.3 Record the name and address of the person who provided this information:

(IM3FN) _____ (IM3SN) _____
FIRST NAME FAMILY NAME/SURNAME

(IM3AD) _____
STREET ADDRESS

(IM3TW) _____
SUBURB AND CITY

(IM3TN) _____
TELEPHONE NUMBER

M.4 What is this person's relationship to the household head?

1= Immediate family relative, 2=Non immediate family relative, 3=friend, 4=neighbour,
5=other

(IM4) _____

SECTION 1: CHANGES IN PLACE AND LINE OF BUSINESS

Interviewer: Mention that you are asking about changes in business activity and business location.

1.1 Are you working in the same line of business and in the same location as you were working in when we interviewed you 3 months ago?

1. Yes (i.e. no changes in both) --> Go to Section 2 (I1_1)
2. Only line of business has changed --> Go to Qn 1.5
3. Only business location has changed --> Ask Qns. 1.2 to 1.4
4. Both line of business and location have changed --> Ask all from Qn 1.2 onwards

1.2 What is the type of site where your business now operates?

1. Improvised site on public spaces (I1_2)
2. Permanent or semi-permanent site on public spaces
3. Improvised or traveling site in a marketplace
4. Site that is part of a permanent market
5. Traveling door to door or on the street
6. Merchandise, prepared food or services offered on motorcycle, bicycle, vehicle, truck, etc.
7. In transporting people or merchandise without a site (taxi, bus, truck, etc.)
8. At customer's residence
9. At your residence without special installations
10. At your residence with special installations.
11. Commercial site (grocery, hardware, etc.)
12. Service site (dry cleaning, restaurant, office, etc.)
13. Production shop (bakery, printing, etc.)
14. Repair service shop (mechanic, electrician, etc.)
15. Guest house, hotel, inn, etc.
16. Transporting people or merchandise with site
17. Other type of site: (specify) _____

If answer is 1--10 skip to Qn 1.4. If 11--17 then ask Qn. 1.3

1.3 Is this site...? (I1_3)

1. Your own property
2. Rented
3. Borrowed
4. Other (specify) _____

1.4 New business address (I1_4)

1.5 When did you stop working in this business?

1.5.1 Day

(I1_5M)

1.5.2 Month

(I1_5D)

1.6 What was the main reason for stopping or changing this business?

1. The business was making a loss (I1_6)
2. Sickness or Health Reasons
3. I found a better paying wage job
4. To take care of family matters
5. A better business opportunity came along
6. Other (Specify): _____

1.7 Is the business now being operated by another household member?

1. Yes --> Go to Qn. 1.13 (I1_7)
2. No --> Go to Qn. 1.8

1.8 Did you sell your business to someone else to run?

1. Yes --> Go to Qn. 1.9 (I1_8)
2. No --> Go to Qn. 1.10

1.9 How much did you sell your business for?

(Rupees)

(I1_9)

1.10 Did you sell any of the equipment or other business assets when you closed the business?

1. Yes --> Go to Qn. 1.11 (I1_10)
2. No --> Go to Qn. 1.12

1.11 How much did you sell the equipment and business assets for?

(Rupees)

(I1_11)

1.12 Do you plan on reopening this business within the next 3 months?

1. Yes (I1_12)
2. No

1.13 After closing or changing the business, what is your main activity now? (To make it easier, I will list some possible activities)

(I1_13)

1. Working for wages --> Ask Section X, Qns X.1--X.11
2. Looking for work --> Ask Section X, Qns X.12--X.14
3. Operating a different business --> Go to Section N
4. Housework or looking after children --> Ask Section X, Qns X.12--X.14

SECTION X: No longer self employed / Not Engaged in Business Activity

Interviewer: This section is asked only from those who have stopped their business activity. For wage workers ask qns X.1--X.11; for those who are searching a different kind of job ask X.12--X.14.

X.1 Is the enterprise where you work as a wage worker:

- 1 Privately owned?
- 2 Government?
- 3 an NGO or Relief Agency?
- 4 Other _____

(IX1)

X.2 In what sector are you working as a wage worker?

Interviewer: Get description of job and sector. Record industry code

a. Description _____

b. Industry Code _____

(IX2)

X.3 How many people work in the enterprise where you are employed?

(IX3)

X.4 How many hours did you work as a wage worker last week? (Consider a 7 day period)

(IX4)

X.5 How much did you earn from this work last week?

Rupees

(IX5)

X.6 In your new job, do you supervise any other employees?

- 1 Yes ---> Go to X.7
- 2 No ---> Go to X.8

(IX6)

X.7 How many employees do you supervise?

(IX7)

X.8 Do any other members of your family work for this enterprise?

- 1 Yes
- 2 No

(IX8)

X.9 What were the main two reasons you left self employment for wage work?

- 1 Higher salary
- 2 More stable working environment
- 3 Less stress
- 4 Business was making a loss
- 5 Better working hours
- 6 Prospects for future wage growth
- 7 Other: Specify _____

(IX9_1)

(IX9_7)

X.10 Do you intend to reenter self employment within the next year?

- 1 Yes ---> Go to X.11
- 2 No ---> Go to Section H (Household Roster)

(IX10)

X.11 Will you start a business in the same or a different industry?

- 1 Same industry ---> Go to Section H
- 2 Different industry ---> Go to Section H
(Household Roster)

(IX11)

If you are searching for a different job (answered 2 or 4 for qn. 1.13) :

X.12 Are you looking for a wage job, or another opportunity to enter self employment?

- 1 wage work ---> Go to X.13
- 2 self employment ---> Go to X.13
- 3 not looking for employment ---> Go to Section H

(IX12)

X.13 How much time do you expect it to take you to find a job?

- 1 a week or less
- 2 more than a week, less than a month
- 3 more than one month, less than 3 months
- 4 more than 3 months

(IX13)

X.14 In which sector are you looking for work?

Interviewer: Get description of job and sector. Record industry code

a. Description _____

b. Industry Code _____

(IX14)

Interviewer: Now go to SECTION 5

SECTION N: NEW BUSINESS

Interviewer: Mention that in this section you are asking about any new businesses started by the entrepreneur (i.e. answer 3 for qn. 1.13)

N.1 How many employees (both paid and unpaid) work in your enterprise, including yourself and family members?

(IN1)

N.2 During the last week, did you work at least one hour in your new business or activity?

1. Yes --> Go to N.5
2. No --> Go to N.3

(IN2)

N.3 What is the main reason you did not work at least one hour last week?

1. Vacations or break
2. Sickness
3. End of work or sale season
4. Arrangement related to business, personal life or temporary closure due to problems with authorities
5. Lack of money or customers
6. Lack or malfunction of vehicles or machinery
7. Other: (specify) _____

(IN3)

N.4 In how many weeks will you resume work?

1. Has already started working
2. one week
3. two weeks
4. three weeks
5. four weeks
6. in more than four weeks
7. No plans to resume

(IN4)

N.5 Business Activity: Could you describe the nature of your business activity?

Interviewer: Note activity sector. Record industry code

- a. Description _____

b. Industry Code _____

(IN5)

N.6 Does your new business operate in the same site as your old business?

1. Yes --> Go to N.9
2. No --> Go to N.7

(IN6)

N.7 Type of Site: What is the type of site where your new business operates?

1. Improvised site on public spaces
2. Permanent or semi-permanent site on public spaces
3. Improvised or traveling site in a marketplace
4. Site that is part of a permanent market
5. Traveling door to door or on the street
6. Merchandise, prepared food or services offered on motorcycle, bicycle, vehicle, truck, etc.

7. In transporting people or merchandise without a site (taxi, bus, truck, etc.)
8. At customer's residence
9. At your residence without special installations
10. At your residence with special installations.
11. Commercial site (grocery, hardware, etc.)
12. Service site (dry cleaning, restaurant, office, etc.)
13. Production shop (bakery, printing, etc.)
14. Repair service shop (mechanic, electrician, etc.)
15. Guest house, hotel, inn, etc.
16. Transporting people or merchandise with site
17. Other type of site: (specify) _____

(IN7)

If answer is 1--10 skip to Qn. N.9. If 11--17 then ask Qn. N.8

N.8 Is this site...?

1. Your own property
2. Rented
3. Borrowed
4. Other (specify) _____

(IN8)

N.9 Do you have any inventories in stock, products for sale, raw materials, products in production, spare parts, or other such materials currently held at your business?

1. Yes --> Go to N.10
2. No --> Go to N.11

(IN9)

N.10 At market prices, what is the value you calculate of your current inventories?

Rupees

(IN10)

N.11 What percentage of the revenue of this firm for the month of MARCH came from

1. Customers within 1 Km of the business
2. Customers in the same G.N. but more than 1Km from business
3. Customers in the Same D.S. but different G.N.
4. Customers in the same district, but different D.S.
5. Customers in the same province, but different district
6. Other provinces
7. Other countries

TOTAL

100%

(IN11_1)

N.12 What percentage of the revenue of this firm comes from sales to.....?

1. Consumers
2. the Government
3. Large Domestic Firms (approx 300 or more workers)
4. Small Domestic Firms
5. Multinationals/Foreign Firms

TOTAL

100%

(IN12_1)

(IN12_5)

N.13 Do you have one major customer who accounts for a quarter or more of your sales?

1. Yes
2. No

(IN13)

N.14 Approximately how many customers did your business make a sale to on the last full day you worked?

(IN14)

BUSINESS EQUIPMENT

N.15 Now I am going to ask you about the characteristics of any utensils, tools, machinery and equipment, vehicles and other property currently used in your new business activity.

[Interviewer: Prompt to make sure that they only record business assets, and not household assets]

Does your business have any?	a. Type (code by row category)	b. Name of Item	c. Condition Acquired 1=new, 2=used, 3=self-made, 4=Other	d. Ownership Status 1=Own, 2=Rent, 3=Borrowed, 4=Other	e. Date of Acquisition Month	f. If you had to replace this, how much would it cost you to purchase one in a similar condition? (Rupees)	g. Is this item also used by your household for non-business uses? 1=Yes, 2=No
1. Business Tools or Utensils	1.1	(IN15b1_1)	(IN15C1_1)	(IN15d1_1)	(IN15e1_1)	(IN15f1_1)	(IN15g1_1)
	1.2	↓	↓	↓	↓	↓	↓
	1.3	↓	↓	↓	↓	↓	↓
2. Machinery	1.4	(IN15b1_4)	(IN15C1_4)	(IN15d1_4)	(IN15e1_4)	(IN15f1_4)	(IN15g1_4)
	2.1	(IN15b2_1)	(IN15C2_1)	(IN15d2_1)	(IN15e2_1)	(IN15f2_1)	(IN15g2_1)
	2.2	↓	↓	↓	↓	↓	↓
3. Furniture and Equipment	2.3	(IN15b2_3)	(IN15C2_3)	(IN15d2_3)	(IN15e2_3)	(IN15f2_3)	(IN15g2_3)
	3.1	(IN15b3_1)	(IN15C3_1)	(IN15d3_1)	(IN15e3_1)	(IN15f3_1)	(IN15g3_1)
	3.2	↓	↓	↓	↓	↓	↓
4. Vehicles used in the business	3.3	(IN15b3_3)	(IN15C3_3)	(IN15d3_3)	(IN15e3_3)	(IN15f3_3)	(IN15g3_3)
	4.1	(IN15b4_1)	(IN15C4_1)	(IN15d4_1)	(IN15e4_1)	(IN15f4_1)	(IN15g4_1)
	4.2	↓	↓	↓	↓	↓	↓
5. Site (including business land and building)	4.3	(IN15b4_3)	(IN15C4_3)	(IN15d4_3)	(IN15e4_3)	(IN15f4_3)	(IN15g4_3)
	5.1	(IN15b5_1)	(IN15C5_1)	(IN15d5_1)	(IN15e5_1)	(IN15f5_1)	(IN15g5_1)
	5.2	↓	↓	↓	↓	↓	↓
6. Other physical assets of the business (excluding inventories)	5.3	(IN15b5_3)	(IN15C5_3)	(IN15d5_3)	(IN15e5_3)	(IN15f5_3)	(IN15g5_3)
	6.1	(IN15b6_1)	(IN15C6_1)	(IN15d6_1)	(IN15e6_1)	(IN15f6_1)	(IN15g6_1)
	6.2	↓	↓	↓	↓	↓	↓
	6.3	↓	↓	↓	↓	↓	↓
	6.4	(IN15b6_4)	(IN15C6_4)	(IN15d6_4)	(IN15e6_4)	(IN15f6_4)	(IN15g6_4)

7. TOTAL REPLACEMENT COST OF ALL YOUR PHYSICAL BUSINESS ASSETS:
(Interviewer: Ask if the description above is incomplete. Otherwise, sum column f.)

Rupees (IN15_7)

INTERVIEWER: NOW GO TO SECTION 3, AND THEN ASK FROM Qn 4.8 ONWARDS

SECTION 3: INCOME AND EXPENSES

In this section we ask about expenses, income and profits.

3.1 Business Expenses During MARCH

Please report the amount you have spent on each of the following categories of business expenses during MARCH

[Interviewer: include only business and not household expenses, do not include wages the owner pays himself as an expense]

Item:

- 3.1.1 Purchase of materials and items for resale
- 3.1.2 Purchase of electricity, water, gas and fuel
- 3.1.3 Interest paid on loans
- 3.1.4 Wages and salaries for employees
- 3.1.5 Rent for machinery and equipment
- 3.1.6 Rent for land or buildings
- 3.1.7 Telephone, Cellphone
- 3.1.8 Taxes
- 3.1.9 Maintenance and general repairs
- 3.1.10 Travelling
- 3.1.11 Other expenses
- 3.1.12 TOTAL EXPENSES IN MARCH

Cost (Rupees)

(I3_1_1)
(I3_1_12)

3.1.a1 During MARCH, were any business goods and materials listed above used for home consumption?

1. Yes ----> Go to Qn. 3.1.a2
2. No ----> Go to Qn. 3.1.b

(I3_1a1)

3.1.a2 What is the value of business goods and materials listed above used for home consumption during MARCH

Interviewer: For manufacturing or service firms, ask about goods or services produced for household members.

Rs. (I3_1a2)

3.1.b Did the enterprise use any inputs which were paid for by someone other than the owner?

1. Yes ----> Go to Qn. 3.1.c
2. No ----> Go to Qn. 3.2

(I3_1b)

3.1.c If yes, what is the approximate value of those inputs?

Rs. (I3_1c)

3.1.d In your list of expenses above, was the value of these inputs included?

1. Yes
2. No

(I3_1d)

3.2 REVENUE

Choose one of M, T or S, depending on principal activity of the enterprise.

M Manufacturing Firms

M3.2 What was the total sales DURING MARCH of products your business makes or alters?

Rs. (IM3_2)

M3.2a What was the amount of revenue received DURING MARCH from selling the products made from inventories purchased DURING MARCH?

Rs. (IM3_2a)

M3.3a What is the value of the inventories purchased DURING MARCH which were held as raw materials at the END OF MARCH?

Rs. (IM3_3a)

M3.3b What is the value of inventories purchased DURING MARCH which were used to produce final goods not sold DURING MARCH?

Rs. (IM3_3b)

M3.3c What is the value of inventories purchased DURING MARCH which were used to produce final goods sold DURING MARCH

Rs. (IM3_3c)

M3.3d What is the value of inventories purchased DURING MARCH which got spoilt or wasted DURING MARCH?

Rs. (IM3_3d)

Interviewer: M3.3a+M3.3b+M3.3c+M3.3d should sum to the value of raw material inputs purchased in Q 3.1.1.

M3.3e What was the value of inventories purchased BEFORE MARCH that were used to make goods sold DURING MARCH?

Rs. (IM3_3e)

M3.3f1 Consider the most important item which you manufacture. If you buy Rs. 1000 worth of raw materials how much of revenue will you receive from the final products that you manufacture with these raw materials on average?

Rs. (IM3_3f_1)

M3.3f2 What percentage of your total revenue comes from the sale of this item?

(IM3_3f_2)

3.4. Can you tell me the total monthly revenues of your business in MARCH from all sources, including manufacturing, retail sales and services?

Rs. (I3_4)

T Retail / Trade Firms

T3.2 What was the total sales DURING MARCH of products your business did not make?

Rs. (IT3_2)

T3.2a What was the amount of revenue received DURING MARCH from selling inventories purchased DURING MARCH?

Rs. (IT3_2a)

T3.3a What is the value of inventories purchased DURING MARCH which were sold DURING MARCH?

Rs. (IT3_3a)

T3.3b What is the value of inventories purchased DURING MARCH that were sold AFTER MARCH, or have not yet been sold?

Rs. (IT3_3b)

T3.3c What is the value of inventories purchased DURING MARCH which got spoilt or wasted DURING MARCH?

Rs. (IT3_3c)

Interviewer: T3.3a + T3.3b + T3.3c should sum to the value of inputs purchased, Q 3.1.1.

T3.3d What was the value of inventories purchased BEFORE MARCH that were sold DURING MARCH?

Rs. (IT3_3d)

T3.3e1 Consider the most important item which you sell. If you buy Rs. 1000 worth of this product how much of revenue will you receive from the sale of this product on average?

Rs. (IT3_3e1)

T3.3e2 What percentage of your total revenue comes from the sale of this item?

(IT3_3e2)

S Service Firms

S3.2 What was the total business revenue DURING MARCH from selling services?

Rs. (IS3_2)

S3.2a What was the amount of revenue received DURING MARCH from selling the services using inventories purchased DURING MARCH?

Rs. (IS3_2a)

S3.3a What is the value of inventories purchased DURING MARCH which were used in services sold DURING MARCH?

Rs. (IS3_3a)

S3.3b What is the value of inventories purchased DURING MARCH that were used in services sold AFTER MARCH, or not yet sold?

Rs. (IS3_3b)

S3.3c What is the value of inventories purchased DURING MARCH which got spoilt or wasted DURING MARCH?

Rs. (IS3_3c)

Interviewer: S3.3a + S3.3b + S3.3c should sum to the value of inputs purchased, Q 3.1.1.

S3.3d What was the value of inventories purchased BEFORE MARCH that were used to provide services DURING MARCH?

Rs. (IS3_3d)

S3.3e1 Consider the most important service that you provide. If you buy Rs. 1000 worth of materials how much of revenue will you receive from the services that you provide with these materials on average?

Rs. (IS3_3e_1)

S3.3e2 What percentage of your total revenue comes from the sale of this service?

(IS3_3e_2)

INTERVIEWER: ONLY ASK 3.14.1 FROM ODD NUMBERED ENTERPRISES WHICH RECEIVED ACCOUNTS RECORDS SHEETS PREVIOUSLY

3.14.1. Do you currently keep written records for your enterprise which are either similar to what we asked you to maintain a year ago or may even be in a different format?

3.5 Can you tell me the total monthly revenues of your business from all sources for the previous 2 months of 2006?

MONTH	a. REVENUE (Rupees)
(a) January	(I3_5A)
(b) February	(I3_5B)

3.6 What was the total income the business earned DURING MARCH after paying all expenses including wages of employees, but not including any income you paid yourself. That is, what were the PROFITS of your business DURING MARCH?

Rs. (I3_6)

3.7 Did you pay yourself a salary for running the business?

1. Yes ----> Go to Qn. 3.7.1
2. No ----> Go to Qn. 3.8

(I3_7)

3.7.1 When estimating the profits for the business, are you including this salary as part of the business expenses?

1. Yes ----> Go to Qn. 3.7.2
2. No ----> Go to Qn. 3.7.2

(I3_7_1)

3.7.2 How much was this salary DURING MARCH?

Rs. (I3_7_2)

3.8 Were revenues from the business used to pay for expenditures in the household?

1. Yes ----> Go to Qn. 3.8.1
2. No ----> Go to Qn. 3.9

(I3_8)

3.8.1 When estimating the profits for the business, are you reducing revenue to account for these household expenses?

1. Yes ----> Go to Qn. 3.8.2
2. No ----> Go to Qn. 3.8.2

(I3_8_1)

3.8.2 How much were these household expenditure payments DURING MARCH?

Rs. (I3_8_2)

3.9 In addition to running the business, did you have a second job during MARCH?

1. Yes ----> Go to Qn. 3.9.1
2. No ----> Go to Qn. 3.10

(I3_9)

3.9.1 In the second job, did you work as a wage worker or did you run another business?

- 1= wage worker
2= other business
3= other _____

(I3_9_1)

3.9.2 How many hours per week did you work in this other job DURING MARCH?

hrs (I3_9_2)

3.9.3 How much did you earn per week in this second job?

Rs. (I3_9_3)

3.10 Have any members of the household started a new business in the past three months?

1. Yes
2. No

(I3_10)

3.11.1 As of today (2007 April ____), what do you expect your sales will be in April?

Rs. (I3_11_1)

3.11.2 How much will you purchase in inventories (i.e. products for sale, raw materials, products in production, spare parts etc) in the month of April?

Rs. (I3_11_2)

3.11.3 What do you currently expect your inventories (i.e. products for sale, raw materials, products in production, spare parts etc) will be at the end of April?

Rs. (I3_11_3)

3.12 For each of the following, say whether you strongly agree, agree, disagree or strongly disagree with the following statements as applied to your life:

1. Strongly agree 3. Disagree
2. Agree 4. Strongly disagree

3.12.1 I feel I am living in a "normal" everyday life

3.12.2 I have come to feel that everyday life consists of repetitive routines

3.12.3 I no longer talk about the tsunami these days

3.12.4 I have changed my outlook on life as a result of the tsunami

(I3_12_1)
(I3_12_4)

3.13 For how many days DURING MARCH was your business closed?

days (I3_13)

1. Yes ----> go to 3.14.2
2. No ----> go to section 4

(I3_14_1)

3.14.2 In what form do you maintain accounts?

(I3_14_2)

1. Same accounts format as given by the survey ----> go to section 4
2. With information in addition to the format given by the survey ----> go to 3.14.3
3. Dropping some information from the format given by the survey ----> go to 3.14.3
4. Dropping some information from and adding some information to the format given by the survey ----> ask 3.14.3 and 3.14.4
5. Completely different format ----> ask 3.14.3 and 3.14.4

3.14.3 What additional information have you added?

- 1 Record of trade credit, loans
2 Record of spoilage and wastage of goods
3 Info on profits
4 Income from other sources
5 Record of inventories
6 Other _____

(I3_14_3A)
(I3_14_3F)

3.14.4 What information do you still maintain?

1. Expenses on Goods / Raw Materials
2. Other Expenses
3. Goods taken from enterprise for household use
4. Total Business income
5. Business income taken for Household Expenditure
6 Other _____

(I3_14_4A)
(I3_14_4F)

SECTION 2: CHANGES IN CURRENT BUSINESS

In this section we ask questions from those who are currently engaged in the same business activity as they were during the previous survey (i.e. answered 1 for Qn. 1.1). In this section we ask about the changes in business activities.

2.1 During the last week, did you work at least one hour in your business?

1. Yes ----> Go to Qn. 2.4
2. No ----> Go to Qn. 2.2

(I2_1)

2.2 What is the main reason you did not work last week?

1. Vacations or break
2. Sickness
3. End of work or sale season
4. Arrangement related to business, personal life or temporary closure due to problems with authorities
5. Lack of money or customers
6. Lack or malfunction of vehicles or machinery
7. Other: (specify) _____

(I2_2)

2.3 In how many weeks will you resume work?

1. Has already started working
2. one week
3. two weeks
4. three weeks
5. four weeks
6. in more than four weeks
7. No plans to resume

(I2_3)

2.4 How many hours did you personally spend working in the business IN THE LAST WEEK?

HOURS LAST WEEK

(I2_4)

2.5 Have you hired any new workers or employees in the last 3 months (including any paid or unpaid family members)?

1. Yes ----> Go to Qn. 2.6
2. No ----> Go to Qn. 2.9

(I2_5)

2.6 How many new workers have you hired?

(I2_6)

2.7 Are any of the new workers related to you?

1. Yes ----> Go to Qn. 2.7.1
2. No ----> Go to Qn. 2.8

(I2_7)

2.7.1 How many of the new workers are related to you?

(I2_7_1)

2.8 How many hours did these new workers spend working in the business LAST WEEK?

(If more than one new worker, give the total hours last week worked by all new workers)

(I2_8)

2.9 Let me confirm then, how many of the following types of workers do you currently have in your business:

(Including both new and existing workers, but do not include yourself!)

1. Wage or salaried workers
2. Partners
3. Unpaid workers
4. Total number of workers (sum of 1, 2 and 3)

(I2_9A1)

(I2_9A4)

2.9.1 How many of these are family members?

(I2_9B1)

2.9.2 How many of these are non-family members?

(I2_9B2)

2.16 Approximately how many customers did your business make a sale to on the last full day you worked?

(I2_16)

2.17 Have you starting manufacturing or selling any new products in the last 3 months that you didn't previously make or sell?

1. Yes ----> Go to qn. 2.18
2. No ----> Go to qn 2.20

(I2_17)

2.18 What is the main new product you are now making or selling?

(I2_18)

2.19 What percentage of total sales does this new product or new products make?

(I2_19)

2.20 Where is your closest competitor located?

1. Within 100 meters of your firm
2. More than 100 meters, within 500 meters
3. More than 500 meters, within 1 km
If more than 1 km:
4. Same GN, but more than 1 km
5. In the Same D.S. but different G.N.
6. In the same district, but different D.S.
7. In the same province, but different district
8. Other provinces
9. Other countries

(I2_20)

2.10 How many hours in TOTAL did family members apart from yourself work in your business in the LAST WEEK?

(Include both new and existing family workers)

hours (I2_10)

2.11 How many hours in TOTAL did non-family members work in your business in the LAST WEEK

(Include both new and existing non-family workers)

hours (I2_11)

2.12 Do you have any inventories in stock, products for sale, raw materials, products in production, spare parts, or other such materials currently held at your business?

1. Yes ----> Go to Qn. 2.13
2. No ----> Go to Qn. 2.14.1

(I2_12)

2.13 At market prices, what is the value you calculate of your current inventories?

Rs. (I2_13)

2.13.1 How much of those inventories were purchased this month, that is, in April?

Rs. (I2_13_1)

2.13.2 How much of those inventories were purchased last month, that is, in March?

Rs. (I2_13_2)

2.13.3 How much of those inventories were purchased prior to last month, that is, in February or earlier?

Rs. (I2_13_3)

interviewer: 2.13.1 + 2.13.2 + 2.13.3 should sum to the value in 2.13

Ask 2.13.4 and 2.13.5 from manufacturing firms ONLY. Otherwise go to 2.14.1

2.13.4 What is the value of your current inventories (i.e. from the value given in 2.13) held as raw materials?

Rs. (I2_13_4)

2.13.5 What is the value of your current inventories held as finished goods?

Rs. (I2_13_5)

Ask from all firms:

2.14.1 What was the value of inventories (i.e. products for sale, raw materials, products in production, spare parts etc) held at the end of March?

Rs. (I2_14_1)

2.14.2 What was the value of inventories held at the end of March which were purchased in February or earlier?

Rs. (I2_14_2)

2.14.3 What was the value of inventories held at the beginning of March?

Rs. (I2_14_3)

2.15 Compared to three months ago have your inventories increased, decreased, or stayed the same?

1. increased
2. decreased
3. stayed the same

(I2_15)

Section 4: Changes in Assets

REPAIRS AND IMPROVEMENTS

4.1 Have you made any improvements or repairs to business assets in the last 3 months?

(This includes improvements to buildings as well as to equipment, vehicles, and other physical assets)

1. Yes ----> Go to Qn. 4.2 (I4_1)
2. No ----> Go to Qn. 4.3

4.2 Business Assets Repaired or Improved in the last 3 months

[Interviewer: prompt to make sure that they only record business assets, and not household assets]

Did you make repairs or improvements to any of the following business assets?	a. Type (code by row category)	b. Was this a repair to a damaged item or an improvement to a non-damaged item? 1 = Repair 2 = Improvement	c. Name of the item	d. Was this item damaged in the tsunami?	e. How much did you spend to repair or improve this item? (Rupees)	f. What is the current market value of the item after repair or improvement? (Rupees)
1. Business Tools or Utensils	1.1	(I4_2b1_1)	(I4_2c1_1)	(I4_2d1_1)	(I4_2e1_1)	(I4_2f1_1)
	1.2	↓	↓	↓	↓	↓
	1.3					
	1.4	(I4_2b1_4)	(I4_2c1_4)	(I4_2d1_4)	(I4_2e1_4)	(I4_2f1_4)
2. Machinery	2.1	(I4_2b2_1)	(I4_2c2_1)	(I4_2d2_1)	(I4_2e2_1)	(I4_2f2_1)
	2.2	↓	↓	↓	↓	↓
	2.3	(I4_2b2_3)	(I4_2c2_3)	(I4_2d2_3)	(I4_2e2_3)	(I4_2f2_3)
3. Furniture and Equipment	3.1	(I4_2b3_1)	(I4_2c3_1)	(I4_2d3_1)	(I4_2e3_1)	(I4_2f3_1)
	3.2	↓	↓	↓	↓	↓
	3.3	(I4_2b3_3)	(I4_2c3_3)	(I4_2d3_3)	(I4_2e3_3)	(I4_2f3_3)
4. Vehicles used in the business	4.1	(I4_2b4_1)	(I4_2c4_1)	(I4_2d4_1)	(I4_2e4_1)	(I4_2f4_1)
	4.2	↓	↓	↓	↓	↓
	4.3	(I4_2b4_3)	(I4_2c4_3)	(I4_2d4_3)	(I4_2e4_3)	(I4_2f4_3)
5. Site (land and building)	5.1	(I4_2b5_1)	(I4_2c5_1)	(I4_2d5_1)	(I4_2e5_1)	(I4_2f5_1)
	5.2	↓	↓	↓	↓	↓
	5.3	(I4_2b5_3)	(I4_2c5_3)	(I4_2d5_3)	(I4_2e5_3)	(I4_2f5_3)
6. Other physical business assets	6.1	(I4_2b6_1)	(I4_2c6_1)	(I4_2d6_1)	(I4_2e6_1)	(I4_2f6_1)
	6.2					
	6.3					
	6.4	(I4_2b6_4)	(I4_2c6_4)	(I4_2d6_4)	(I4_2e6_4)	(I4_2f6_4)

7. TOTAL AMOUNT SPENT REPAIRING OR IMPROVING PHYSICAL ASSETS:

(Interviewer: Ask if the description above is incomplete. Otherwise, sum column e.)

Rs. (I4_2_7)

ADDITIONS TO CAPITAL STOCK

4.3 Have you PURCHASED, BEEN GIVEN, BORROWED OR RENTED any utensils, tools, machinery and equipment, vehicles or other business property since we last interviewed you 3 months ago?

1. Yes ---> Go to Qn. 4.4 (I4_3)
2. No ---> Go to Qn. 4.6

4.4. Please describe the business assets that you have BOUGHT, BEEN GIVEN, BORROWED OR RENTED in the last 3 months

4.4. Please describe the business assets that you have **BOUGHT**, **BEEN GIVEN**, **BORROWED**.
 [Interviewer: prompt to make sure that they only record business assets, and not household assets]

Interviewer: prompt to make sure that they only record business assets, and not household assets							
	a.	b.	c.	d.	e.	f.	g.
Did your business BUY any of?	Type (code by row category)	Name of item	Condition Acquired 1=new, 2=used, 3=self-made, 4=other	Ownership Status 1=own, 2=rent, 3=borrow, 4=other	Date of Acquisition Month	How much did you spend to buy this (or how much would it cost to replace if the item is borrowed or rented) (Rupees)	Is this item also used by your household for non-business uses? 1= Yes, 2=No
1. Business Tools or Utensils	1.1	(I4_4b1_1)	(I4_4c1_1)	(I4_4d1_1)	(I4_4e1_1)	(I4_4f1_1)	(I4_4g1_1)
	1.2	↓	↓	↓	↓	↓	↓
	1.3						
	1.4	(I4_4b1_4)	(I4_4c1_4)	(I4_4d1_4)	(I4_4e1_4)	(I4_4f1_4)	(I4_4g1_4)
2. Machinery	2.1	(I4_4b2_1)	(I4_4c2_1)	(I4_4d2_1)	(I4_4e2_1)	(I4_4f2_1)	(I4_4g2_1)
	2.2	↓	↓	↓	↓	↓	↓
	2.3	(I4_4b2_3)	(I4_4c2_3)	(I4_4d2_3)	(I4_4e2_3)	(I4_4f2_3)	(I4_4g2_3)
3. Furniture and Equipment	3.1	(I4_4b3_1)	(I4_4c3_1)	(I4_4d3_1)	(I4_4e3_1)	(I4_4f3_1)	(I4_4g3_1)
	3.2						
	3.3	(I4_4b3_3)	(I4_4c3_3)	(I4_4d3_3)	(I4_4e3_3)	(I4_4f3_3)	(I4_4g3_3)
4. Vehicles used in the business	4.1	(I4_4b4_1)	(I4_4c4_1)	(I4_4d4_1)	(I4_4e4_1)	(I4_4f4_1)	(I4_4g4_1)
	4.2						
	4.3	(I4_4b4_3)	(I4_4c4_3)	(I4_4d4_3)	(I4_4e4_3)	(I4_4f4_3)	(I4_4g4_3)
5. Site (including business land and building)	5.1	(I4_4b5_1)	(I4_4c5_1)	(I4_4d5_1)	(I4_4e5_1)	(I4_4f5_1)	(I4_4g5_1)
	5.2						
	5.3	(I4_4b5_3)	(I4_4c5_3)	(I4_4d5_3)	(I4_4e5_3)	(I4_4f5_3)	(I4_4g5_3)
6. Other physical assets of the business (excluding inventories)	6.1	(I4_4b6_1)	(I4_4c6_1)	(I4_4d6_1)	(I4_4e6_1)	(I4_4f6_1)	(I4_4g6_1)
	6.2	↓	↓	↓	↓	↓	↓
	6.3						
	6.4	(I4_4b6_4)	(I4_4c6_4)	(I4_4d6_4)	(I4_4e6_4)	(I4_4f6_4)	(I4_4g6_4)

7. TOTAL REPLACEMENT COST OF ALL BUSINESS ASSETS PURCHASED IN LAST 3 MONTHS:

(Interviewer: Ask if the description above is incomplete. Otherwise, sum)

Rs. (14_4_7)

4.5 Where did you obtain the money used to purchase these additional business assets?

[Interviewer: write 0 if no funds from source]

Source:

1. Own savings
2. Loans from family
3. Loans from friends
4. Remittances from abroad
5. Bank loan
6. Loan from a Microfinance organization
7. Private moneylenders
8. Sale of household assets
9. Credit from Customers
10. Credit from Suppliers
11. Tsunami relief agency
12. Sale of business assets
13. Lottery win from this survey project
14. Other. Specify

TOTAL

% of funds
(14 5 1)
↓
(14 5 14)
100

SALES OF CAPITAL STOCK

4.6 Have you SOLD, RETURNED OR OTHERWISE DISPOSED OF any utensils, tools, machinery and equipment, vehicles or other business property since we last interviewed you 3 months ago, or had any existing assets DAMAGED so you can no longer use them? Please include returns of rental equipment.

1. Yes ----> Go to Qn. 4.7
2. No ----> Go to Qn. 4.8

(I4_6)

4.7. Please describe the business assets that you have SOLD, RETURNED or had DAMAGED in the last 3 months

(Interviewer: prompt to make sure that they only record business assets, and not household assets)

Did your business SELL, RETURN or have DAMAGED any of?	a. Type (code by row category)	b. Name of item	c. Sold or Damaged? 1=Sold (Go to d) 2=Damaged (Go to f) 3=Returned (Go to d)	d. How much did you get from selling or returning it? (Rupees)	e. Date of Sale Month	f. What is the replacement cost of the damaged item? (Rupees)	g. Was this item also used by your household for non-business uses? 1=Yes, 2=No
1. Business Tools or Utensils	1.1	(I4_7b1_1)	(I4_7c1_1)	(I4_7d1_1)	(I4_7e1_1)	(I4_7f1_1)	(I4_7g1_1)
	1.2	↓	↓	↓	↓	↓	↓
	1.3	↓	↓	↓	↓	↓	↓
	1.4	(I4_7b1_4)	(I4_7c1_4)	(I4_7d1_4)	(I4_7e1_4)	(I4_7f1_4)	(I4_7g1_4)
2. Machinery	2.1	(I4_7b2_1)	(I4_7c2_1)	(I4_7d2_1)	(I4_7e2_1)	(I4_7f2_1)	(I4_7g2_1)
	2.2	↓	↓	↓	↓	↓	↓
	2.3	(I4_7b2_3)	(I4_7c2_3)	(I4_7d2_3)	(I4_7e2_3)	(I4_7f2_3)	(I4_7g2_3)
3. Furniture and Equipment	3.1	(I4_7b3_1)	(I4_7c3_1)	(I4_7d3_1)	(I4_7e3_1)	(I4_7f3_1)	(I4_7g3_1)
	3.2	↓	↓	↓	↓	↓	↓
	3.3	(I4_7b3_3)	(I4_7c3_3)	(I4_7d3_3)	(I4_7e3_3)	(I4_7f3_3)	(I4_7g3_3)
4. Vehicles used in the business	4.1	(I4_7b4_1)	(I4_7c4_1)	(I4_7d4_1)	(I4_7e4_1)	(I4_7f4_1)	(I4_7g4_1)
	4.2	↓	↓	↓	↓	↓	↓
	4.3	(I4_7b4_3)	(I4_7c4_3)	(I4_7d4_3)	(I4_7e4_3)	(I4_7f4_3)	(I4_7g4_3)
5. Site (including business land and building)	5.1	(I4_7b5_1)	(I4_7c5_1)	(I4_7d5_1)	(I4_7e5_1)	(I4_7f5_1)	(I4_7g5_1)
	5.2	↓	↓	↓	↓	↓	↓
	5.3	(I4_7b5_3)	(I4_7c5_3)	(I4_7d5_3)	(I4_7e5_3)	(I4_7f5_3)	(I4_7g5_3)
6. Other physical assets of the business (excluding inventories)	6.1	(I4_7b6_1)	(I4_7c6_1)	(I4_7d6_1)	(I4_7e6_1)	(I4_7f6_1)	(I4_7g6_1)
	6.2	↓	↓	↓	↓	↓	↓
	6.3	↓	↓	↓	↓	↓	↓
	6.4	(I4_7b6_4)	(I4_7c6_4)	(I4_7d6_4)	(I4_7e6_4)	(I4_7f6_4)	(I4_7g6_4)

7. TOTAL AMOUNT RECEIVED FROM BUSINESS ASSET SALES OR RETURNS IN THE LAST 3 MONTHS:

(Interviewer: Ask if the description above is incomplete. Otherwise, sum column d.)

Rs

(I4_7_7)

8. TOTAL REPLACEMENT COST OF BUSINESS ASSETS DAMAGED IN THE LAST 3 MONTHS:

(Interviewer: Ask if the description above is incomplete. Otherwise, sum column f.)

Rs

(I4_7_8)

LOANS AND INVESTMENT

4.8 I would like to ask about loans that your business has received in the past three months. Please provide information on the following types of loans

Type of Loan	a. In the last 3 months have you got a loan of this type 1. Yes 2. No ♦ <i>next type</i>	b. Do you still owe part of this loan? 1. Yes 2. No ♦ <i>next type</i>	c. What is the amount owing? (Rupees)	d. What is the MONTHLY or ANNUAL INTEREST rate in percent on this loan?	
				Monthly (%)	Annual (%)
1. Private bank	(I4_8a1)	(I4_8b1)	(I4_8c1)	(I4_8dm1)	(I4_8dy1)
2. Government bank					
3. Microfinance organization					
4.1 Development Bank (eg. RDB)	(I4_8a4_1)	(I4_8b4_1)	(I4_8c4_1)	(I4_8dm4_1)	(I4_8dy4_1)
4.2 Samurdhi	(I4_8a4_2)	(I4_8b4_2)	(I4_8c4_2)	(I4_8dm4_2)	(I4_8dy4_2)
5. Sanasa	(I4_8a5)	(I4_8b5)	(I4_8c5)	(I4_8dm5)	(I4_8dy5)
6. IDRP/REAP/ABG etc.					
7. Tsunami relief program					
8. Moneylender					
9. Family and friends					
10. Other	(I4_8a10)	(I4_8b10)	(I4_8c10)	(I4_8dm10)	(I4_8dy10)

4.9 During the past three months, have you increased your investment in your business from money reinvested in the business or from other household sources?

- 1 Yes --> 4.9a
2 No --> 4.9b

(I4_9)

4.9a Approximately how much have you invested from these sources?

5.9a1 Money from the business reinvested in the business

Rs.

(I4_9_a1)

5.9a2 Money from other household sources

Rs.

(I4_9_a2)

Interviewer: Ask 4.9a and then go to 4.10. Ask 4.9b only from those who did not do any investment

4.9b Why have you not invested any funds from these sources in your business? (all that apply)

1. Investments would not increase my profits by a sufficient amount
2. I am satisfied with the size of my business, and do not want to make it larger
3. I have not had any funds which I could invest
4. I have no money left after buying food and paying household expenses
5. Other (specify) _____

(I4_9b1)

(I4_9b5)

4.10 During the past 3 months, have you increased your savings in banks or other financial institutions?

- 1 Yes
2 No

(I4_10)

4.11 During the past 3 months, have you made any loans to family members or friends?

- 1 Yes
2 No

(I4_11)

4.12 What is the approximate level of savings you have right now, which could be used to make additional investments in your business, or to pay unexpected household expenses like hospital bills?

1. No savings
2. Less than Rs. 5000
3. Rs. 5000 - 9,999
4. Rs. 10,000 - 19,999
5. Rs. 20,000 - 39,999
6. Rs. 40,000 - 59,999
7. Rs. 60,000 - 79,999
8. Rs. 80,000-99,999
9. Rs. 100,000 or more

(I4_12)

Interviewer: Ask ONLY from Galle and Matara enterprises. If Kalutara District enterprise go to section 5.

4.13 Did you apply for a loan under the Ruhuna Development Bank loan programme?

1. Yes --> Go to Qn. 4.14
2. No --> Go to Section 5

(I4_13)

4.14 What was the amount of the loan you applied for?

Rs.

(I4_14)

4.15 Was the loan granted?

1. Yes --> Go to Qn. 4.16
2. No --> Go to Section 5

(I4_15)

4.16 If yes, when and how much did you receive?

Year

(I4_16y)

Month

(I4_16m)

Day

(I4_16d)

Amount Rs.

(I4_16)

SECTION 05 : ATTITUDES, SELF-CONFIDENCE AND BEHAVIOUR (from all enterprises)

5.1 Please rate how much you agree/disagree with each statement below, using this scale:

1=Disagree strongly

2=Disagree

3=Neutral

4=Agree

5=Agree strongly

5.1.1. I plan tasks carefully.

(I5_1_1)

5.1.2. I make up my mind quickly.

(I5_1_2)

5.1.3. I save regularly.

(I5_1_3)

5.1.4. I look forward to returning to my work when I am away from work

(I5_1_4)

5.1.5. I can think of many times when I persisted with work when others quit

(I5_1_5)

5.1.6. I continue to work on hard projects even when others oppose me

(I5_1_6)

5.1.7. I like to juggle several activities at the same time

(I5_1_7)

5.1.8. I would rather complete an entire project every day than complete parts of several projects

(I5_1_8)

5.1.9. I believe it is best to complete one task before beginning another

(I5_1_9)

5.1.10. It is difficult to know who my real friends are.

(I5_1_10)

5.1.11 I never try anything that I am not sure of.

(I5_1_11)

5.1.12 Generally speaking, most people in this community are honest and can be trusted

(I5_1_12)

5.1.13. A person can get rich by taking risks

(I5_1_13)

Interviewer: Ask all questions from 5.2.1 to 5.4.3

5.2.1 Do you usually purchase lottery tickets?

1 = Yes

2 = No

(I5_2_1)

5.2.2 In the last 3 months (i.e. January to March period), have you purchased any lottery ticket?

1 = Yes

2 = No

(I5_2_2)

5.2.3 In the last month (i.e. in March), have you purchased any lottery ticket?

1 = Yes

2 = No

(I5_2_3)

5.3.1 Do you usually place bets at horse race events / turf accountants?

1 = Yes

2 = No

(I5_3_1)

5.3.2 In the last 3 months (i.e. January to March period) have you placed a bet at any horse race event or with any turf accountant?

1 = Yes
2 = No

(I5_3_2)

5.3.3 In the last month (i.e. in March) have you placed a bet at any horse race event or with any turf accountant?

1 = Yes
2 = No

(I5_3_3)

5.4.1 Do you usually participate in card games with expectations of money winnings?

1 = Yes
2 = No

(I5_4_1)

5.4.2 In the last 3 months (i.e. January to March period), have you participated in any card games with money winnings expectations?

1 = Yes
2 = No

(I5_4_2)

5.4.3 In the last month (i.e. in March), have you participated in any card games with money winnings expectations?

1 = Yes
2 = No

(I5_4_3)

5.5 Please rate how much you agree/disagree with each statement below, using this scale:

1=Disagree strongly
2=Disagree
3=Neutral
4=Agree
5=Agree strongly

5.5.1 It is important for me to do whatever I'm doing as well as I can even if it isn't popular with people around me.

(I5_5_1)

5.5.2 If given the chance, I would make a good leader of people.

(I5_5_2)

5.5.3 Part of my enjoyment in doing things is improving my past performance.

(I5_5_3)

5.5.4 When a group I belong to plans an activity, I would rather direct it myself than just help out and have someone else organize it.

(I5_5_4)

5.5.5 I try harder when I'm in competition with other people.

(I5_5_5)

5.5.6 It is important to me to perform better than others on a task.

(I5_5_6)

5.5.7 I enjoy planning things and deciding what other people should do.

(I5_5_7)

5.5.8 I find satisfaction in having influence over others.

(I5_5_8)

5.5.9 The most important thing that happens in life involves work.

(I5_5_9)

5.5.10 I like to have a lot of control over the events around me.

(I5_5_10)

5.5.11 My family and friends would say I am a very organized person

(I5_5_11)

5.5.12 **(Only ask from married enterprise owners)** My family and friends would say my spouse is a very organized person

(I5_5_12)

SECTION 6: ENTERPRISE VIEWS ON PROFITS REPORTING

INTERVIEWER: To be asked from all enterprises

We are interested in understanding the factors affecting how enterprise owners answer questions on profits. Consider other enterprises similar in all respects to yours. Consider how owners of such enterprises would answer questions on profits.

6.1 In your opinion, when providing information about profits, many enterprise owners tend to:

1. over-state expenses (i.e. over-estimate), (go to Q 6.2)
2. under-state expenses (i.e. under-estimate), (go to Q 6.3)
3. state expenses correctly (go to Q 6.4)

(I6_1)

6.2. What do you think are the main reasons enterprise owners tend to over-state profits?

Provide the 3 main reasons.

INTERVIEWER: According to answers given, circle the most relevant statements.

1. Fear of taxes
2. Poor recordkeeping/can't remember details;
3. Unable to trust interviewer
4. To deliberately show the difficulties of running a business
5. Anticipating some benefit such as financial aid to the business
6. Not treating the survey seriously
7. Do not like to reveal true state of the business
8. Other _____

(I6_2_1)

(I6_2_3)

6.3. What do you think are the main reasons enterprise owners tend to under-state profits?

Provide the 3 main reasons.

INTERVIEWER: According to answers given, circle the most relevant statements.

1. Fear of taxes
2. Poor recordkeeping/can't remember details;
3. Unable to trust interviewer
4. To deliberately show the difficulties of running a business
5. Anticipating some benefit such as financial aid to the business
6. Not treating the survey seriously
7. Do not like to reveal true state of the business
8. Other _____

(I6_3_1)

(I6_3_3)

Ask All Enterprises:

6.4 If true monthly business profits are Rs. 10,000, how much would such enterprise owners report this as?

Rs.

(I6_4)

6.5 According to Sri Lankan law, at what level of income do business owners have to start paying income taxes?

interviewer: read out the following options, and ask which one they think is right

1. All personal income from a business is taxable according to the law.
2. Personal income from a business above Rs. 50,000 per year is taxable.
3. Personal income from a business above Rs. 100,000 per year is taxable.
4. Personal income from a business above Rs. 200,000 per year is taxable.
5. Personal income from a business above Rs. 300,000 per year is taxable.
6. Personal income from a business above Rs. 500,000 per year is taxable.
7. Personal income from a business above Rs. 1,000,000 per year is taxable.
8. Personal income from a business is not taxable.

(I6_5)

6.6 Assume that a business owner has an annual personal income of Rs 400,000. Then:

6.6.1 What amount of this income is subject to tax?

Rs.

(I6_6_1)

Don't know = 99

6.6.2 What is the tax rate applicable to this income (i.e. the income in 6.6.1)?

%

(I6_6_2)

Don't know = 99

Section Z: Interviewer Impressions

Interviewer: Please respond to the following questions after completing the interview.

Z.1 Who else other than the enterprise owner was present during the interview?

(Mark all that apply.)

- 1 Nobody
- 2 The spouse of the owner.
- 3 Other adult household members
- 4 Other adults from outside the household
- 5 A child 5 years of age or younger
- 6 A child older than 5 years of age.

(IZ_1_1)

(IZ_1_6)

Z.2 What is your impression of how well the respondent understood the questions being asked?

- 1 Excellent
- 2 Good
- 3 Not so good
- 4 Very bad

(IZ_2)

Z.3 What is your impression of the seriousness with which the respondent answered questions?

- 1 Excellent
- 2 Good
- 3 Not so good
- 4 Very bad

(IZ_3)

Z.4 What is your overall impression of the preciseness with which questions were answered?

- 1 Excellent
- 2 Good
- 3 Not so good
- 4 Very bad

(IZ_4)

Z.5 Which questions were most difficult or troubling for the respondent?

____ (IZ_5r1) _____ (IZ_5r5) _____

Z.6 Which questions were most difficult or troubling for you?

____ (IZ_6r1) _____ (IZ_6r5) _____

Z.7 Which questions interested the respondents the most?

____ (IZ_7r1) _____ (IZ_7r5) _____

Additional remarks:
