

Networks and Employment Transitions Study (NETS, 2002)

Good (morning/afternoon/evening), I'm _____ and we are conducting a survey for the University of Cape Town. In 1998 we interviewed people living in this compound/homestead/stand. This earlier study has been very helpful to us and policy makers in learning how best to fight poverty. As a follow-up to this earlier work, we would again like to ask questions about specific people who lived here in 1998. The information you give to us will be kept confidential. You and your household members will not be identified by name or address in any of the reports we plan to write.

HOUSEHOLD ID NUMBER HOUSEHOLD SURNAME HOUSEHOLD ADDRESS & TELEPHONE NUMBER

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1. IS THIS HOUSEHOLD IN THE SAME COMMUNITY AS 1998? Yes = 1 No = 2 (circle one)

	Visit 1	Visit 2
2. Code of Interviewer:		
3. Date:	____ / ____ / 02	____ / ____ / 02
4. Time Began:		
5. Time Ended:		

5. Name of Supervisor:

Signature of Supervisor:

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STRICTLY CONFIDENTIAL

SECTION 1: HOUSEHOLD ROSTER

1.1 Resident Household Members (Core and Non-Core) as defined on Household ID Card

- **RECORD NAMES AND PERSON CODES ONLY OF PEOPLE NOT STRUCK OFF THE HOUSEHOLD ID CARD.**
- **PLACE AN "R" NEXT TO THE PERSON CODE OF THE KEY RESPONDENT.**

2. Name	1. Person Code	10. If the household had any kind of trouble, would [..] help financially? Yes ... 1 No ... 2 →	11. If the household had any kind of trouble, would [..] help in any other way? Yes ... 1 No ... 2 →	12. What is the highest education completed by [..] ? PROMPT FROM SCHOOL CODE	
					<div style="text-align: center; font-weight: bold;">School Code</div>
					0 = None
					1 = Sub A - Std 1 (Class 1 - Std 1)
					2 = Std 2
					3 = Std 3
					4 = Std 4
					5 = Std 5
					6 = Std 6 (Form 1)
					7 = Std 7 (Form 2)
					8 = Std 8 (Form 3/Junior Certificate)
					9 = Std 9 (Form 4)
					10 = Std 10 (Matric/Form 5/Senior Certificate)
					21 = Std 10 + diploma
					15 = Std 10 + some university courses
					16 = Completed university degree
					22 = Post graduate degree
					Other (Specify) _____

1.2 Non-Household Members With Links

In this section we are interested in other persons NOT listed above who you might rely on or who rely on you for economic support.

- PROMPT FOR EACH ITEM AND LIST ALL PERSONS WHO ARE NOT ALREADY ON HOUSEHOLD ID CARD
- IF MISSING CORE PERSON IS PROVIDING MONEY, THEN REPLACE PRE-PRINTED PERSON CODE BELOW

Are there any individuals who:

- 1) Send remittances to this household or receive remittances from this household ?
- 2) Lend money to this household or borrow money from this household ?
- 3) Lend a house, land, or livestock to this household or borrow any of those things from this household ?
- 4) If you had some financial trouble, such as funeral expenses, who would you turn to first for assistance besides those mentioned ?

13. Relationship Code 1= non-household family member 2= neighbour 3= church member 4= other organisation member 5=employer 6=friend 7=mashonisa (moneylender) 8=stokvel 9=shopkeeper 10=Induna Other specify _____ PROMPT FROM LIST ABOVE	1. Person Code	14. Do any of these people/groups usually charge any interest? IF YES RECORD AMOUNT. WRITE "0" IF NO INTEREST CHARGED	15. Sex IF Q.13 REFERS TO A GROUP, THEN RECORD -2 → Q.16 Male1 Female. 2	16. Approximate Current Age in Years in 2002 IF Q.13 REFERS TO A GROUP, THEN RECORD -2 → Q.17 Years	17. If the household had any kind of trouble, would [..] help in any other way? Yes ... 1 No ... 2 →	18. What is [..]'s <u>main</u> activity? IF Q.13 REFERS TO A GROUP, THEN RECORD -2 → Q.19 PROMPT FROM Activity Code (ID CARD)	19. What is the highest education completed by [..] ? IF Q.13 REFERS TO A GROUP, THEN RECORD -2 → Q.20 PROMPT FROM School Code (PAGE 1)
	100						
	200						
	300						
	400						
	500						
	600						

1.3 Parents of Pre-Printed Persons

In this section, we are interested in the parents of all the Resident Household Members.

- RECORD NAMES AND PERSON CODES ONLY OF PEOPLE NOT STRUCK OFF THE HOUSEHOLD ID CARD.
- WRITE "R" NEXT TO THE PERSON CODE OF THE KEY RESPONDENT.

2. NAME	1. Person Code	20. Is father of [..] alive? Yes = 1 Don't Know = 2 No → ENTER AGE AT DEATH <i>THEN</i> GO TO Q. 21 IF DON'T KNOW AGE AT DEATH, ENTER 3	21. What is the highest education completed by [..]'s father ? PROMPT FROM School Code (PAGE 1) IF FATHER DEAD → Q.24	22. What is [..]'s father's main activity? PROMPT FROM Activity Code (ID CARD)	23. If the household had financial trouble, would father of [..] help? Yes ... 1 No ... 2 →	24. Is mother of [..] alive? Yes = 1 Don't Know = 2 No → ENTER AGE AT DEATH <i>THEN</i> GO TO Q. 25. IF DON'T KNOW AGE AT DEATH, ENTER 3	25. What is the highest education completed by [..]'s mother? PROMPT FROM School Code (ID CARD) IF FATHER DEAD → SECTION 2	26. What is [..]'s mother's main activity? PROMPT FROM Activity Code	27. If the household had financial trouble, would mother of [..] help? Yes ... 1 No ... 2 →

SECTION 2: EMPLOYMENT

EMPLOYMENT CODE (Q. 2.1.2)	REASON CODE (Q. 2.1.6)	SEARCH CODES (Q. 2.1.8)	ABSENCE CODES (Q. 2.1.5)
1 = Run or do any kind of business, big or small for himself/herself? 2 = Do any work for a wage, salary, commission or any payment in kind (excl. domestic work)? 3 = Do any work as a domestic worker for a wage, salary, or any payment in kind? 4 = Help unpaid in a family business of any kind? 5 = Do any work on his/her own or the family's plot, farm, food garden, cattle post or kraal or help in growing farm produce or in looking after animals for the household? 6 = Do any construction or major repair work on his/her own home, plot, cattle post or business or those of the family? 7 = Catch any fish, prawns, shells, wild animals or other food for sale or family food? 8 = Beg for money or food in public? Other (specify): _____	1 = Has found job but has not started working yet 2 = Lack of skills or qualifications for available jobs 3 = Scholar/ student – prefers not to work 4 = Housewife/homemaker – prefers not to work 5 = Retired and prefers not to seek formal work 6 = Illness, invalid, disabled, unable to work 7 = Too young or too old to work 8 = Seasonal worker (e.g., fruit picker) 9 = Cannot find suitable work (salary, location of work, or conditions not satisfactory) 10 = Contract Worker (e.g., mine worker resting according to contract) 11 = Recently retrenched 12 = No jobs or work available Other (specify): _____	1 = registered at employment agency 2 = enquired at farms/ factories etc. 3 = placed/answered advertisement 4 = sought assistance from relatives/ friends 5 = tried to start own business or farm 6 = sought/underwent training 7 = waited on the side of the street 8 = asked Stokvel members for help 9 = asked Burial society members for help Other (specify): _____	1 = Own illness or injury 2 = Caring for Family or others 3 = Maternity or Paternity leave 4 = Other Family/community obligations 5 = Strikes/Stay-Away/ Lockouts 6 = Problems with Transport 7 = Bad weather 8 = Vacation/ Leave 9 = Study or Training Leave 10 = Unrest (Violence) 11 = Temporarily Laid Off (short-time) Other (specify): _____

Section 2.1 Employment Status

In this section, we are interested in employment status of all the Resident Household Members listed on page 1.

- BEGIN BY COPYING ALL NAMES AND PERSON CODES FROM HOUSEHOLD ID CARD THAT HAVE NOT BEEN STRUCK.
- PUT AN “R” NEXT TO THE PERSON CODE OF THE KEY RESPONDENT.
- IF Q3.a IS “YES=1” AND Q3.b IS “EMPLOYMENT CODE = 1,2 OR 3”, THEN SKIP TO SECTION 2.2

2. Name	1. Person Code	3. In the last week, did [...] do any of the following activities? PROMPT FROM EMPLOYMENT CODES - PAGE 4 3a. 3b. Yes = 1 EMPLO No =2 YMENT CODES		4. Even though [...] did not work for pay in the last week, does he/she have a job, business, or other economic or farming activity that he/she will definitely return to? Yes ... 1 →Q.5 No ... 2 →Q.6	5. What was the main reason [..] was absent from his/her job last week ? PROMPT FROM ABSENCE CODES (PAGE 4) →SECTION 2.2	6. Why did [..] not work for pay during the past week? PROMPT FROM REASON CODES (PAGE 4)	7. During the past month did [..] take any action to look for work or start a business? Yes ... 1 No ... 2 →Q.9	8. What has [..] done to look for work or start a business in the last month PROMPT FROM SEARCH CODES (PG 4)	9. How long has it been since [..] did any kind of work for pay RECORD IN MONTHS

Section 2.2: Regular Employment

REFER TO Q.9 ON PAGE HOUSEHOLD ID ROSTER:

IF ANSWER IS ACTIVITY = 1, THEN WRITE PERSON CODE IN THE TABLE BELOW
IF ANSWER IS ACTIVITY = 3, THEN ASK THE FOLLOWING QUESTION

Does [..] (REPEAT THE NAME) earn his/her living as a doctor or a lawyer or through some other special training he/she has ?

Yes..... → **COPY PERSON CODE ONTO TABLE BELOW AND GO TO NEXT PERSON**
 No..... → **GO TO NEXT PERSON AND REPEAT QUESTION**

- **INCLUDE: FARM MANAGERS, LAWYERS, DOCTORS, ARCHITECTS, AND MANAGEMENT CONSULTANTS AND OTHER SELF-EMPLOYED PROFESSIONALS WHO RECEIVE A SALARY**
- **INCLUDE: WORKERS ON PAID VACATION**
- **EXCLUDE: FARM OWNERS AND SHOPKEEPERS/OWNERS**

Codes for Question 3a TYPE OF JOB	Codes for Question 3b WORKS FOR	Codes for Question 3c ECONOMIC SECTOR
12 = Professional or semi-professional occupations 13 = Technical occupations 14 = Managerial and executive occupations 15 = Administrative occupations 03 = Clerical and sales occupations 04 = Transport, delivery and communications occupations 05 = Service occupations 07 = Artisan, apprentice and related occupations 08 = Production foremen and supervisors and mining and quarrying occupations 09 = Operators, production workers and related semi-skilled occupations 10 = Labourers Other occupations (Specify)	1 = Central Government 2 = Provincial Government 3 = Local Government 4 = A Government Enterprise <i>(Transnet, Telkom, etc)</i> 5 = Private Business 6 = Non-Profit Institution <i>(NGO, CBO, charity, embassy)</i> 7 = Self-Employed 8 = Householder Other (Specify)	1 = Agriculture/Fishing/Forestry 2 = Mining 3 = Manufacturing 4 = Electricity & Water 5 = Construction 6 = Wholesale & Retail 7 = Restaurants, Hotels, Entertainment, & Sport Transport & Communication 9 = Finance 10 = Educational Services 11 = Medical Services 12 = Legal Services 13 = Domestic Services 14 = Armed Forces 15 = Other Services Other (Specify)

Section 2.2: Regular Employment (Continued)

2. Name	1 Person Code	3a. What does [..] do? TYPE OF JOB (PG.6) Code	3b. Who does [..] work for? (PG. 6) Code	3c. In which economic sector is [..] employed? (PG. 6) Code	3d. About how many hours, not counting travel time, does [..] work on an average day? Hours	3e. How many hours of paid overtime, if any, did [..] work in the past week? Overtime Hours	4c. On what basis is [..] paid? Week 1 Fortnight .. 2 Month 3	4f. When you add all the amounts together, including tax and all other amounts, how much would [..] get? (Gross Pay) Rand	4g. How much, if anything, was paid to [..] in the form of a bonus or share in profits in the last 12 months? Rand

Section 2.2: Regular Employment (Continued)

COPY PERSON CODES OF ALL PEOPLE WITH REGULAR EMPLOYMENT (FROM PREVIOUS TABLE) TO TABLE BELOW

Sometimes the people or company that people work for provide other benefits, not just pay. This is what I want to ask you about in this section. For the same pay period (week, fortnight, or month) mentioned above

FOR QUESTIONS 5c to 5e, THE VALUE OF THE SUBSIDY IS EQUAL TO THE AMOUNT THAT [..] WOULD HAVE TO PAY EACH PAY PERIOD FOR THAT PARTICULAR ITEM IF HE/SHE DID NOT GET THE BENEFIT.

2. Person Code	1. Person Code	5c. How much does [..] get in the form of free or subsidised transport each [USE PAY PERIOD FROM 4C] ? Rand	5d. How much does [..] get in the form of free or subsidised (cheap) food each [USE PAY PERIOD FROM 4C] ? Rand	5e. How much does [..] get in the form of free or subsidised (cheap) housing each [USE PAY PERIOD FROM 4C] ? Rand	5f. Is [..] a paid-up member of a Trade Union? Yes ... 1 No ... 2 →

Section 2.3: Wage Work: Casual or Temporary

REFER TO AGAIN TO Q.9 ON PAGE 1:

IF ANSWER IS ACTIVITY = 2, THEN WRITE PERSON CODE IN THE TABLE BELOW

SOME PEOPLE WHO ANSWERED ACTIVITY = 2 MIGHT HAVE MORE THAN ONE CASUAL JOB. RECORD THE MAIN CASUAL OR TEMPORARY JOB FIRST (ASSIGNING IT JOB NUMBER 1) AND ANY OTHERS AFTERWARD NUMBERING THEM ACCORDINGLY

Codes for Question 3a TYPE OF JOB
12 = Professional or semi-professional occupations
13 = Technical occupations
14 = Managerial and executive occupations
15 = Administrative occupations
03 = Clerical and sales occupations
04 = Transport, delivery and communications occupations
05 = Service occupations
07 = Artisan, apprentice and related occupations
08 = Production foremen and supervisors and mining and quarrying occupations
09 = Operators, production workers and related semi-skilled occupations
10 = Labourers
11 = Other occupations (Specify)

Section 2.3: Wage Work: Casual or Temporary(Continued)

FOR QUESTIONS 4d and 4e, THE VALUE OF THE SUBSIDY IS EQUAL TO THE AMOUNT THAT [..] WOULD HAVE TO PAY EACH PAY PERIOD FOR THAT PARTICULAR ITEM IF HE/SHE DID NOT GET THE BENEFIT

2. Name	1. Person Code	N1. Job Number	3a. What does [...] do? PAGE 9 Code	N2. About how many days did [...] work on this job in the last month? Days	3d. About how many hours, not counting travel time, does [...] work on an average day? Hours	4c. In the past month, what was [..] paid in cash for the work that he/she did? Rand	4d. How much did [..] get paid in- kind for the work (e.g., sacks of mealies for helping with a harvest) in the past month? Rand	4e. How much did [..] get in the form of free or subsidised (cheap) meals or other goods as payment for the work in the past month? Rand

SECTION 3: HOUSEHOLD INCOME FROM NON-EMPLOYMENT SOURCES

In this section we are going to talk about any money or any form of assistance that household members may receive, which **does not involve employment of some kind**.

As I read a list of the different ways in which people can receive money or assistance, I'd like you to indicate whether any household member did, in fact, receive such assistance or not **IN THE LAST 30 DAYS**.

Spending Code Question 3.5		
1 = HOUSEHOLD ITEMS: Kitchen equipment, like pots and pans, lamps, torches Home maintenance and repairs to the dwelling Bedding, sheets, blankets and towels Furniture and other household appliances 2 = CLOTHING AND SHOES: Shoes and clothes for children (excl. school uniforms) Shoes and clothes for adults Material to make clothing, curtains, and other items 3 = HEALTH AND CARE: Dentists, doctors or nurses Hospital fees Medicines, bandages and so on Traditional healer's fees	4 = PERSONAL AND OTHER ITEMS: Holidays Jewelry, watches, other luxury goods Ceremonies (weddings, funerals, etc.) Telephone (service) 5 = EDUCATION: School fees and tuition Books and Uniforms (including stationery) Other School Expenses 6 = INSURANCE: Life insurance, funeral policies, burial societies Medical aid schemes/Medical insurance Short-term insurance (e.g., car, property & fire, crop)	7 = PERSONAL ITEMS: Cigarettes, tobacco Beer, wine, spirits Entertainment (cinema, sports, music, etc) Cosmetics, soap, shampoo, haircuts, and so on Newspapers/stationery, envelopes, stamps 8 = MISCELLANEOUS: Washing powder etc. Creche/Childcare Religious and membership dues of organisations Informal taxation and donations Domestics, gardeners and other household labour 9 = ENERGY, WATER AND MUNICIPAL RATES: Water, Electricity, and Municipal Rates Wood, paraffin, charcoal/coal, candles, gas, batteries, diesel 10=Food

Section 3: Household Income from Non-Employment Sources (Continued)

PROMPT FOR EACH ITEM	1. Do you receive income or any money from [..] ? Yes ... 1 No ... 2	2. Did any other members of this household receive income or any money from [..] ? Yes ... 1 No ... 2	3. If Question 1 = 2 & Question 2 = 2, then tick box → SECTION 4	4. How much did YOU receive from [..] in total last month? Rand	2. How much did THEY receive from [..] last month? Rand	5. What was the largest item purchased with this money in last 30 days? PAGE 11 SPENDING CODE	6. Did any money received from this source go to a stokvel or Burial Society? Yes ... 1 No ... 2 →
Old Age Pension (Social Pension)	1						
Stokvel	3						
Pension from work	2						
Private Retirement Annuity/gratuity	13						
Other private individuals (remittances)	19						
Unemployment Insurance	8						
Workmen's Compensation	6						
Care Dependency Grant (under 18)	16						
Disability Grant (18 and over)	4						
State Maintenance Grant	15						
Foster Care Grant	17						
Inheritances (WRITE MONTH AND YEAR IF AFTER 1998)	10						
Government Supplementary Food scheme through clinics	11						
War veterans aid	18						
Other, Specify:	12						

SECTION 4: COMMUNITY CONNECTEDNESS

ASK THE FOLLOWING QUESTIONS ONLY OF THE KEY RESPONDENT

For each of the following statements indicate whether you agree or disagree	1 = Agree 2 = Disagree
4.1 I have many friends in my neighbourhood/community	
4.2 I feel safe walking around in my neighbourhood/community <u>during the day</u>	
4.3 The families in my neighbourhood/community will help their neighbours or others in the community when they are in trouble	
4.4 There is a lot of crime in my neighbourhood/community	
4.5 There is a lot of violence among young people in my neighbourhood/community	
4.6 People in my neighbourhood/community trust one another	
4.7 I don't feel close to anyone in this community	

Person Code of Key Respondent: _____

SECTION 5: ECONOMIC SHOCKS

Section 5.1: Negative Economic Shocks

Households sometimes experience surprises. First I would like to ask you about any **bad surprises** your household experienced **since 1998**.

1. Surprise	2. Surprise Code	3. Did [..] occur in this household in the last 4 years ? Yes ... 1 No ... 2 →	4. What year did [..] happen ? Year	5. How long did it last? Months ENTER 99 IF ONGOING	6. What was the decrease in income each month? Rand	7. What were the total expenses /losses from it? Rand	9. After it occurred, how did the household cope financially Yes 1 No 2				
							<i>Sell assets or use savings?</i>	<i>Borrow from a stokvel or moneylender?</i>	<i>Take kids out of school?</i>	<i>Get help from others?</i>	<i>Use Insurance or Burial Society</i>
							Yes = 1 No = 2	Yes = 1 No = 2	Yes = 1 No = 2	Yes = 1 No = 2	Yes = 1 No = 2
Death of household member or other family member	1										
Serious injury or illness	2										
Loss of a regular job of a household member	3										
Cut-off or decrease of remittances to household	4										
Cut-off or decrease in government grants (not due to death)	5										
Abandonment or Divorce	6										
Theft, fire, or destruction of household property	7										
Major crop failure due to, e.g., drought, flood, hailstorm, pests	8										
Widespread death/disease of livestock	9										
Failure or bankruptcy of business	10										
Other 1, Specify											

Section 5.1: Negative Economic Shocks

Now I would like to ask you about any **good surprises** your household experienced **since 1998**.

NOTE: FOR OTHER ROW, WRITE “-2” IF QUESTION NOT APPLICABLE TO THAT SURPRISE

1. Surprise PROMPT EACH ITEM BELOW CIRCLE SURPRISE CODES OF RELATED SHOCKS	2. Surprise Code	3. Did [..] occur in this household in the last 4 years ? Yes ... 1 No ... 2 → NEXT ITEM	4. What year did [..] happen? Year	5. How long did it last? Months ENTER 99 IF ONGOING	6. What was the increase in income each month? Rand	7. What was the total value of things received? Rand
New Regular job for household member	13					
New or increased remittances	14					
New government grants (e.g., pension begins)	15					
Inheritance, large gift, lottery winnings	16					
Big payment from a firm (Including Retirement payments)	17					
Scholarships for children or adults in the household	18					
Other 1, Specify	19					
Other 2, Specify	19					

Person Code of Key Respondent:_____

SECTION 6: SOCIAL CAPITAL

Section 6.1: Membership in Organizations

Code	LIST PERSON CODES HERE→	1. Have any of the household members from page 1 belonged to a [..] in the last 12 month									
		PLACE TICK IN APPROPRIATE BOX									
		Person Code	Person Code	Person Code	Person Code	Person Code	Person Code	Person Code	Person Code	Person Code	Person Code
1	Stokvel										
2	Burial Society (excl. OLD MUTUAL etc)										
3	Community Garden group										
4	Farmer's Association										
5	Sewing group										
6	Sports group										
7	Study group										
8	Singing or Music group										
9	African Independent Church (AIC)										
10	Other Church										
11	Youth group										
12	Informal trader's group										
13	Men's association										
14	Women's association										
15	School Committee										
16	Water Committee										
17	Development Committee										
18	Tribal Authority										
	Other, Specify _____										

FEE CODES for 6.2.8
0 = No fee 1 = Daily 2 = Weekly 3 = Monthly 4 = Yearly 5 = When event occurs 6 = Fortnight Other (specify):

PENALTY CODES for 6.2.9
1 = They have to leave the club voluntarily 2 = They have to pay a fine 3 = Nothing happens to them 4 = Suspended 5 = Excluded from group 6 = Excluded from meeting 7 = Given Verbal Warning 8 = Forfeit contributions Other (specify):

ALLOCATION CODES for 6.2.20
1 = Randomly 2 = Oldest 3 = Longest Member 4 = Men First 5 = Woman First 6= Need (No food, Death, Marriage) 7= At Death (for burial societies) 8= Equally (once or twice a year) 9= Equally (every few months) Other (specify):

MEMBERSHIP CRITERIA CODES for 6.2.22
1 = Gender (e.g., only woman can become members) 2 = Age (e.g., only people over the age of 25 are allowed to join) 3 = Employment Status (e.g., you must be employed to join) 4 = Religion (e.g., you have to belong to the local church to join) 5 = Reference (e.g., you must get a reference from someone who's already a member) 6 = Family (e.g., someone in your family must already be a member) 7 = Community (e.g., you must belong to a certain neighbourhood to join) 8 = Income 9 = Pensioner Other (specify):

CONTRIBUTION CODES for 6.2.23
1 = I don't have to pay my contributions until I get a job 2 = I remain a member, but I miss my turn 3 = I get a loan from the group and carry on as usual 4 = My neighbour will help out 5 = I leave the group but only temporarily 6 = The leader of the group organizes part time work Other (specify):

DEFAULT CODES For 6.2.24 & 6.2.25
1 = Nothing 2 = Suspended 3 = Excluded from group 4 = Excluded from meeting 5 = Given Verbal Warning Other (specify):

SEARCH CODES for 6.2.31
1 = registered at employment agency 2 = enquired at farms/ factories etc. 3 = placed/answered advertisement 4 = sought assistance from relatives/ friends 5 = tried to start own business or farm 6= sought/underwent training 7 = waited on the side of the street 8 = asked Stokvel members for help 9 = asked Burial society members for help Other (specify):

HELP CODES for 6.2.35
1 = Give me information about jobs 2 = Lend me Money 3 = Give me Money 4 = Give me Food 5 = Pay my rent 6 = Give me a Job Other (specify):

Section 6.2: Membership in Stokvels and Burial Societies

RECORD PERSON CODES OF STOKVEL AND BURIAL SOCIETY MEMBERS FROM PREVIOUS PAGE.

ASK WHEN THESE INDIVIDUALS WILL BE HOME AND MAKE AN APPOINTMENT TO GO BACK AT THE SPECIFIED TIME.

THEN CONDUCT SEPARATE INTERVIEWS OF EACH PERSON LISTED BELOW. IF UPON SECOND VISIT, THE RELEVANT PERSON IS NOT PRESENT, THEN USE ONE OF THE MEMBERS PRESENT AS A KEY RESPONDENT TO GET INFORMATION ABOUT MISSING MEMBER. ENTER R NEXT TO PERSON CODE IF INFORMATION PROVIDED THROUGH RESPONDENT PLACE A TICK NEXT TO PERSON CODES OF PEOPLE WHO ARE MEMBERS OF SAME GROUP.

1. Person Code	2. Which of the following types of stockvels or burial societies has [] been a member of in the last 12 months 1= money stokvel 2=food stokvel 3 = govt. based burial society ("Conerstone", "Top-Top") 4 = burial society 5 = event based burial society	3. Can others benefit in any way from your member- ship Yes ... 1 No ... 2	4. How long ago did the group start? IF < 1 YEAR, THEN CODE = 99 Years	5. How many years have you belonged to the group? IF < 1 YEAR, THEN CODE = 99 Years	6. How many people belong to the group currently? IF RESPONDENT IS UNSURE, PROMPT WITH RANGES	7. Did you have to pay a fee to join the group? If yes, how much was it? Rand, 0=No Fee	8. Is there a regular contribution/fee ? If yes, how often and how much is it?, FEE CODES (PAGE 17) 0=No Fee Code Amount		9. What happens when a group member breaks the rules of the group? PENALTY CODES PAGE 17	10. How many of the group's meetings do/did you usually attend? 1=None 2=A few 3=Some 4=Many 5=All	11. Overall, how well do/did you think the group works? 1=Very weak 2=Weak 3=Average 4=Strong 5=Very strong

Section 6.2: Membership in Stokvels and Burial Societies (Continued)

1. Person Code	12. Does your spouse/partner know you belong to this group?	13. Does this group have a leader?	14. How much do you trust the other members of the group?	15. How much do the other members trust you?	16. Are you friends with members of your group?	17. How many women belong to the group?	18. How many people in the group would you say you are close to?	19. Does the group work on a rotational or non-rotational basis	20. How does the group allocate the pot of money?
FROM ABOVE TABLE	Yes ... 1 No ... 2 N/A = -2	Yes ... 1 No ... 2	1 = no trust 2 = low trust 3 = medium 4 = high trust 5 = completely	1 = no trust 2 = low trust 3 = medium 4 = high trust 5 = completely	Yes ... 1 No ... 2	IF RESPONDENT IS UNSURE, PROMPT WITH RANGES		Rotational = 1 Non-Rotational = 2	CONSULT ALLOCATION CODES PAGE 17

Section 6.2: Membership in Stokvels and Burial Societies (Continued)

1. Person Code	21. Are there any special reasons why you might miss your turn to get money? IF YES, USE BOTTOM OF QUESTIONNAIR E TO RECORD EXAMPLES OF SUCH OCCASIONS. IF NO → Q.22	22. What kinds of criteria are used to determine whether someone is allowed to become a member or not? CONSULT MEMBERSHIP CRITERIA CODES PAGE 17	23. How would you meet your contributions if you lost your job while still a member? CONSULT CONTRIBUTION CODES PAGE 17	24. If any employed member of your group does not pay their contribution, what happens? CONSULT DEFAULT CODES PAGE 17	25. If any unemployed member of your group does not pay their contribution, what happens? CONSULT DEFAULT CODES PAGE 17	26. How many times have you not payed your contribution, since joining the group?	27. How many times has the leader not payed his/her contribution, since becoming the leader ?	28. Could an unemployed person become a member of your group? Yes ... 1 No ... 2

Section 6.2: Membership in Stokvels and Burial Societies (Continued)

1. Person Code	29. Do you know of any members of your group who are currently unemployed?	30. Do you currently have a job which you do for pay (cash or kind)?	31. How did you get it?	31b. Did membership of this group help you get this job?	32. If answer to Q.31 is SEARCH = 4 then is this person a member of <u>this</u> stokvel or burial society?	33. If you lost your job, will someone in your community help out?	34. If you lost your job, will someone in your group help out?	35. How will your group members help?	36. Does the group also give out loans?	37. Do you have to be a member to get a loan from the group?
FROM ABOVE TABLE	Yes ... 1 No ... 2	Yes ... 1 No ... 2	CONSULT SEARCH CODES PAGE 17	Yes ... 1 No ... 2	Yes ... 1 No ... 2	Yes ... 1 No ... 2	Yes ... 1 No ... 2 → Q.36	CONSULT HELP CODES PAGE 17	Yes ... 1 No ... 2 → SECTION 6.3	Yes ... 1 No ... 2

Person Code of Key Respondent: _____

Section 6.3: Rules of Stokvels and Burial Societies

GO BACK TO THE BEGINNING OF SECTION 6.2 AND TRANSCRIBE PERSON CODES AND RESPONSES TO QUESTION 6.2.2 THEN ASK QUESTIONS 3 AND 4 FROM THE TABLE BELOW. SPACE IS PROVIDED FOR THE RESPONSES OF 4 INDIVIDUALS. HOWEVER, IF SOME PEOPLE ARE MEMBERS OF THE SAME ORGANISATION, RECORD BOTH THEIR PERSON CODES IN THE SAME PERSON CODE BOX AND ONLY ONE SET OF RULES.

1. Person Code FROM ABOVE TABLE	2. Which of the following types of stockvels or burial societies has [] been a member of in the last 12 months 1= money stokvel 2=food stokvel 3 = govt. based burial society (“Conerstone”, “Top-Top”) 4 = burial society 5 = event based burial society	3. What are the three most important rules of your group?	4. Why did you join the group?

Section 6.3: Rules of Stokvels and Burial Societies (Continued)

<p>1. Person Code</p> <p>FROM ABOVE TABLE</p>	<p>2. Which of the following types of stockvels or burial socities has [] been a member of in the last 12 months</p> <p>1= money stokvel 2=food stokvel 3 = govt. based burial society (“Conerstone”, “Top-Top”) 4 = burial society 5 = event based burial society</p>	<p>3. What are the three most important rules of your group?</p>	<p>4. Why did you join the group?</p>

TO CONCLUDE THE INTERVIEW, READ THE FOLLOWING:

Thank you for your time and the valuable information you have provided us with. Again, let me say that the information you gave to us will be kept confidential. You and your household members will not be identified by name or address in any of the reports we plan to write.

In a few weeks some of my colleagues may want to come and talk to you a little more, to learn more about your community and household. Is this OK?

CIRCLE EITHER: YES or NO

If yes, when is the best time of day for us to come?

RECORD TIME HERE:

Do you have a telephone number that we can use to contact you to set up an appointment?

CIRCLE EITHER: YES or NO

RECORD TELEPHONE NUMBER IF WILLING TO PROVIDE:

CONTACT DETAILS OF CORE PERSON/S OR HOUSEHOLD IF MOVED

9.1	First Name	
	Surname	
9.3	Area of residence Name of the town, village, township, informal settlement	
9.4	Address	House number / description <hr/> Street <hr/> Section <hr/> Tel <hr/>

CONTACT DETAILS OF CORE PERSON/S OR HOUSEHOLD IF MOVED

9.1	First Name	
	Surname	
9.3	Area of residence Name of the town, village, township, informal settlement	
9.4	Address	House number / description <hr/> Street <hr/> Section <hr/> Tel <hr/>

ENUMERATOR DECLARATION

I declare that I have asked this entire questionnaire as it is laid out and as I have been briefed.

I declare that all the responses and answers recorded by me in this questionnaire were given to me by the respondent whose details are listed in q9.1 to q9.4.

This questionnaire has been fully checked by myself.

PLEASE PRINT:

First name	
Surname	
Signature	
Date	

QUALITY CONTROL RECORD SHEET

QUALITY CONTROL				CORRECTION		CORRECTION CHECKED	
Date	Initial	Question number	Description of problem	Date	Initial	Date	Initial

HOUSEHOLD ID NUMBER

HOUSEHOLD SURNAME

HOUSEHOLD ADDRESS

PRELISTED HOUSEHOLD MEMBERS (CORE PERSONS NAMES ARE WRITTEN IN BOLD)

2. Name	1. Person Code	3. Sex MALE = 1 FEMALE = 2	4. Age PRE CURRENT	5. Is this person still resident in this household? Yes= 1, No =2,	6. Has [..] lived under this roof for more than 15 days <i>out of the last 30 days</i> ? Yes= 1, No =2	7. If Question 5 = 2 & Question 6 = 2, then tick box and strike out name and person code	8. If person code is struck out and name written in bold, then record number of tracking questionnaire.	9. What is [..]'s <i>main</i> activity? PROMPT FROM ACTIVITY CODE

RECORD DETAILS OF NEW HOUSEHOLD MEMBERS BETWEEN THE AGES OF 20 AND 60

2. Name	1. Person Code	3. Sex	4. Age	Activity Code Question 9		9. What is [..]'s <i>main</i> activity?
	N1			1 = Regular employment	5 = Unemployed	
	N2			2 = Casual employment	6 = Formal education	
	N3			3 = Self-employed	7 = Retired/Pensioner	
	N4			4 = Housewife/Child rearing	8 = Other	

Note: Casual Work includes any paid work done at all, no matter how unimportant it seems, for how short a time it lasted, or how little was earned.