

HOUSEHOLD QUESTIONNAIRE - UNJP FOR ACCELERATING PROGRESS ON RURAL WOMEN'S ECONOMIC EMPOWERMENT IN ETHIOPIA

Household questionnaire to be conducted with one primary respondent, randomized between principal male and female in the household.

MODULE A1. INTERVIEW DETAILS

HH0 Q1	Team number	
HH0 Q2	Interviewer's Code	

HOUSEHOLD IDENTIFICATION

HH0 Q3	Region	Code:											
HH0 Q4	Zones	Code:											
HH0 Q5	Woreda	Code:											
HH0 Q6	Village/Kebele	Code:											
HH0 Q7	Location: full address of the household												
HH0 Q8	GPS Code	Latitude N:											
HH0 Q9		Longitude E:											
HH0 Q10	HHID												
HH0 Q11	OUTCOME OF FIRST VISIT		01 = Completed/Successful (Continue) 02 = Entire household absent outside the area for extended period of time (Terminate interview) 03 = Refused (in general) (Terminate interview) 04 = Refused to consent (Terminate interview) 05 = Postponed (Return for call-back) 06 = No household member at home or no competent respondent at home at time of visit (Return for call-back) 07 = Partial (Return for call-back) 77 = Other (specify) _____										INTERVIEWER'S COMMENTS:
	OUTCOME OF SECOND VISIT												
	OUTCOME OF THIRD VISIT												
HH0 Q12	Date: dd/mm/yy		Time at start: hh/mm		Time at finish: hh/mm		Respondents' names (last name, first name):				ID Code (from Household Roster)		

Consent Form

Title: United Nations Joint Programme by FAO, IFAD, UN-Women and WFP in partnership with the Government of Ethiopia entitled, “Accelerating Progress to the Economic Empowerment of Rural Women.”

Contact information: [to be filled in]

This Consent Form contains information about the research named above. You have been randomly selected to participate in this survey. In order to be sure that you are informed about being in this research, we are asking you read or have read to you this Consent Form. If you agree to be part of the study, you will be asked to sign a form (or make your mark in front of a witness).

This Consent Form has two parts: Information Sheet (to share information about the study with you) and a Certificate of Consent (for signatures). You will be given a copy of the full Consent Form. This Consent Form might contain some words that are unfamiliar to you. Please ask us to explain anything you may not understand in the Form.

PART I: Information Sheet

Purpose of this study: This is a study run by an international team of researchers on behalf the Food and Agriculture Organization of the United Nations, and on behalf of the Joint Programme “Accelerating Progress to the Economic Empowerment of Rural Women” in partnership with the Government of Ethiopia. The purpose of the study is to understand how programmes promote men and women’s empowerment and gender equality. The research is to inform the United Nations Joint Programme on how to improve its programmes and activities.

What will be done: As part of this study we will be asking two individuals in your household to provide information around decisions made in the household, in agricultural production and in income generation; participation in community organizations and groups; ownership of assets held in the household and who contributes most to the decisions on their use; time allocation into different activities, household businesses and other income sources, savings; and experiences in food security. This individual-level questionnaire will take about [60] minutes. In addition, we will ask one individual additional questions about the household in a household questionnaire, such as who lives in your household, the type of work the members are involved in, and household land holdings. The household questionnaire will take about [30] minutes. We will begin with the household questionnaire.

Before we begin the interview, we want to make sure you understand the following information about the study:

- **Confidentiality:** All information collected for this study will be kept confidential, and your participation in this study will not affect you in any way because it is solely for research proposes. Information that could identify you or your household will not be publicly released and will not be shared with those administering any programmes within the United Nations Joint Programme, or any other government or international institution. You will not be named in any reports.
- **Voluntary participation:** Your participation is completely voluntary. You may refuse to take part in the interview. Additionally, you may stop at any time if you decide you do not want to continue. You also may refuse to answer any question.
- **Right to ask questions:** You have the right to ask questions at any point during the interview. If you have questions after the interview, you can contact [to be filled].
- **Benefits:** There is no tangible benefits to you or your household as a result of this study. However, researchers, policy-makers, and the general public will learn from the knowledge gained from this study.
- **Follow-up:** The intention of the study is to conduct further interviews with you in the future. As a result, your personal details will be kept on record in order that you can be re-contacted to participate in future studies. However, we will ask your permission to participate in the survey again each time. Agreeing to participate now does not mean you have to participate in future surveys.

PART II: Certificate of Consent

I have been asked to give consent for participating in this research study which will involve my completing one interview guided by a questionnaire. I have been asked to give permission and I understand that my wishes will be respected. I am aware that there may be no benefit to my family or me personally and that we will not be compensated. I have been provided with the name of a researcher who can be easily contacted using the number I was given for that person. I have read the foregoing information, or it has been read to me. I have had the opportunity to ask questions about it and any questions that I have asked have been answered to my satisfaction. I consent voluntarily to participate as a participant in this study and understand that I have the right to withdraw from the study at any time.

1 (PRIMARY): SIGNATURE OR FINGER PRINT _____
(DD/MM/YYYY)

DATE _____

2: (SECONDARY) SIGNATURE OR FINGER PRINT _____

If illiterate

A literate witness must sign (if possible, this person should be selected by the participant and should have no connection to the research team). Participants who are illiterate should include their thumb print as well.

I have witnessed the accurate reading of the consent form to the potential participant, and the individual has had the opportunity to ask questions. I confirm that the individual has given consent freely.

PRINT NAME OF WITNESS: _____

SIGNATURE OF WITNESS: _____

AND THUMB PRINT OF PARTICIPANT:

DATE _____ (DD/MM/YYYY)



I have accurately read or witnessed the accurate reading of the consent form to the parent/guardian of the potential participant, and the individual has had the opportunity to ask questions. I confirm that the individual has given consent freely.

PRINT NAME OF ENUMERATOR: _____

SIGNATURE OF ENUMERATOR: _____

DATE _____ (DD/MM/YYYY)

A copy of this Informed Consent Form has been provided to each of the respondents of this survey. An Informed Assent Form will ____ OR will not ____ be completed.

MODULE B1: HOUSEHOLD ROSTER & DEMOGRAPHICS

ID CODE	What is this person's name?		How old is [PERSON]?	What sex is [PERSON]?	What is [PERSON]'s relationship to the primary respondent?	What is [PERSON]'s current marital status?	In what year did [PERSON] first marry?	Does [PERSON]'s spouse live in the household?	Write the ID codes for the spouse(s) living in household.	Since the last 12 months, how many months did [PERSON] live in this household?	What is the highest educational qualification obtained by [PERSON]?	Can [PERSON] read a newspaper or a letter?
	ENUMERATOR: IDENTIFY THE PRIMARY AND SECONDARY RESPONDENTS ACCORDING TO THE METHODOLOGY DESCRIBED IN THE ENUMERATOR'S MANUAL. THESE MUST BE THE SAME THAN IN THE "INTERVIEW DETAILS" SECTION.		ENUMERATOR: ASK FOR ID DOCUMENTS AND COMPUTE THE AGE IN COMPLETED YEARS. IF UNDER 1 YEAR, WRITE 00.	Male.....1 Female.2	Self.....1 Spouse..... 2 Daughter/son.....3 Mother/father.....4 Other relative.....5 Other non-relative.....6	Married, monogamous....1 Married, polygamous.....2 Free union.....3 Widow/widower.....4 >> B1.09 Divorced or separated..... 5 >> B1.09 Never married...6 >> B1.09	ENUMERATOR: IF INDIVIDUAL HAS MORE THAN ONE SPOUSE, LIST ONLY THE YEAR OF THE FIRST MARRIAGE.	Yes.....1 No.....2 >> B1.09 ENUMERATOR: FOR RESPONDENTS WITH MULTIPLE SPOUSES, MARK YES IF RESPONDENT LIVES WITH AT LEAST ONE SPOUSE.			Illiterate.....1 First cycle Primary2 Second cycle primary ----3 secondary grades 9-10 ---4 prep 11-12 ---5 Post-secondary...6 Do not know.....99 ENUMERATOR: RECORD COMPLETED GRADES, NOT CURRENT GRADE	Easily..... With difficulty..... Not at all..... Do not know.....
	B1.01a	B1.01b	B1.02	B1.03	B1.04	B1.05	B1.06	B1.07	B1.08	B1.09	B1.10	B1.11
01	Name: Primary Respondent	ID: Primary respondent										
02	Name: Secondary Respondent	ID: Secondary respondent										
03												
04												
05												
06												
07												
08												
09												

10												
11												
12												
13												
14												
15												

MODULE B2: LIVELIHOODS AND EMPLOYMENT (DIVERSIFICATION): FOR YOUTH AND ADULTS (10 YEARS AND OLDER)

ID code	Since the last 12 months, has [PERSON] performed any tasks in household farming (including work on kitchen/ garden plot)?	Since the last 12 months, has [PERSON] performed any task in household livestock production?	Since the last 12 months, has [PERSON] performed any task in any agricultural business run by a member of the household?	Since the last 12 months, has [PERSON] performed any task in a non-agricultural business run by a member of the household?	Since the last 12 months, has [PERSON] worked for someone outside the household in exchange of money, food or goods?	In which sector was the main job?	Was the main job a permanent job, temporary work, or piece-work (occasional)?	Since the last 12 months, did [PERSON] have other jobs outside the household in exchange of money, food or goods?	In which sector was this job?
	Yes.....1 No.....2	Yes.....1 No.....2	Yes.....1 No.....2	Yes.....1 No.....2	Yes.....1 No.....2 >> next person	Land cultivation...1 Livestock.....2 Forestry.....3 Fishing.....4 Mining or quarrying...5 Manufacturing....6 Professional/ Scientific/Technical activities.....7 Electricity8 Construction....9 Transportation...10 Buying and selling....11 Financial services....12 Personal services....13 Education.....14 Health....15 Public administration....16 Other(specify).....17	Permanent / regular work...1 Temporary work (a short-term work arrangement).....2 Piece-work.....3 Other (specify).....4	Yes.....1 No.....2 >> next person	Land cultivation...1 Livestock.....2 Forestry.....3 Fishing.....4 Mining or quarrying.....5 Manufacturing....6 Professional/ Scientific/Technical activities.....7 Electricity8 Construction....9 Transportation...10 Buying and selling....11 Financial services....12 Personal services....13 Education.....14 Health....15 Public administration.16 Other(specify).....17
	B2.01	B2.02	B2.03	B2.04	B2.05	B2.06	B2.07	B2.08	B2.09
01									
02									
03									
04									
05									
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07									
08									

09									
10									
11									
12									
13									
14									
15									

MODULE C1: TYPE OF HOUSING

<p>Type of accommodation</p> <p>Corrugated Iron1</p> <p>Traditional house ...2</p> <p>Traditional hut.....3</p> <p>Other(specify)....7</p>	<p>What is the number of sleeping rooms in the dwelling?</p>	<p>What is the principal source of lighting in your dwelling?</p> <p>Electricity.....1</p> <p>Generator.....2</p> <p>Solar.....3</p> <p>Kerosene lamp... 4</p> <p>Battery lamp.....5</p> <p>Other (specify)....6</p>	<p>What is the main source of drinking water for the household?</p> <p>Water from a tap</p> <ul style="list-style-type: none"> - Inside the dwelling.....1 - In the courtyard/concession..2 - Neighbour's tap.....3 - Neighbourhood fountain/public tap4 <p>Open well</p> <ul style="list-style-type: none"> - Open well in dwelling.....5 - Open well in the courtyard/concession.....6 - Open well somewhere else .7 <p>Covered well or borehole</p> <ul style="list-style-type: none"> - Covered well in dwelling....8 - Covered well in courtyard/concession.....9 - Protected well somewhere else.....10 - Borehole... 11 <p>Surface water</p> <ul style="list-style-type: none"> - Stream, river, lake, dam...12 <p>Other source</p> <ul style="list-style-type: none"> - Tanker truck.....13 - Traveling vendors14 - Bottled water....15 - Mini AEP.....16 - Rain water.....17 - Other (specify).....18 		<p>What kind of toilet facility does your household use?</p> <p>No facility /bush/field.....1</p> <p>Open pit/ traditional pit latrine.....2</p> <p>Uncovered improved pit latrine.....3</p> <p>Covered improved pit latrine.....3</p> <p>Flush toilet.....4</p> <p>Other (specify).....5</p> <p>If = 1 go to C1.07</p>	<p>Is this toilet facility located within your dwelling, or yard or compound ?</p> <p>Yes.....1</p> <p>No.....2</p>	<p>What is the main material of the dwelling floor?</p> <p>Earth/dirt/sand 1</p> <p>Cement/concrete ...2</p> <p>Tiles/marble... ..3</p> <p>Carpet/polished wood....4</p> <p>Other (specify).5</p>	<p>What is the main material of the household's walls?</p> <p>Earth/dirt/sand...1</p> <p>Cement/concrete/stones with cement.....2</p> <p>Stones with mud.....3</p> <p>Wood/straw.....4</p> <p>Sheet metal.....5</p> <p>Bricks.....6</p> <p>Other (specify).....7</p>	<p>What type of roof the main room in house have?</p> <p>Hide/skins.....</p> <p>Wood.....</p> <p>Corrugated iron sheets.....</p> <p>Concrete/cement.....</p> <p>Straw.....</p> <p>Plastic or plywood.....</p> <p>Tile.....</p> <p>Dirt.....</p> <p>Other (specify) ..</p>
C1.01	C1.02	C1.03	C1.04a	C1.04b	C1.05	C1.06	C1.07	C1.08	C1.09
			Dry Season	Rainy Season					

MODULE C2: DISTANCE TO SERVICES

How long (in minutes) does it take to go to the main source of drinking water during the dry season?	How long (in minutes) does it take to go to the main source of drinking water during the rainy season?	Who in the household usually collects water? ENTER UP TO THREE (3) MEMBER IDs OTHER CODES: NON-HH MEMBER.....94 NOT APPLICABLE..... 98		What is the primary mode of transportation to the main source of drinking water? On foot.....1 Horse, donkey, non-motorized vehicle..... 2 Motorized vehicle.....3	How long (in minutes) does it take to go to the nearest place where you can access public transportation?	What is the primary mode of transportation to the nearest place where you can access public transportation? On foot.....1 Horse, donkey, non-motorized vehicle..... 2 Motorized vehicle.....3	How long (in minutes) does it take to go to the nearest primary school?	What is the primary mode of transportation to the nearest primary school? On foot.....1 Horse, donkey, non-motorized vehicle..... 2 Motorized vehicle.....3
<i>Minutes</i>	<i>Minutes</i>				<i>Minutes</i>		<i>Minutes</i>	
C2.01a	C2.01b	C2.01c		C2.02	C2.03	C2.04	C2.05	C2.06

MODULE D1: INSTITUTIONAL TRANSFERS & PROGRAMME OPERATIONS - CASH

<p>In the last 12 months, have you or anyone in your household received any assistance or transfer in CASH from the government or an organization?</p> <p>Yes 1 No 2 >> Next module</p>	<p>List all types of CASH assistance received from the government or an organization in the last 12 months.</p> <p>1. <i>PSNP Cash Transfer</i> 2. <i>Purchase from Africans for Africa (WFP) – (Cash or voucher)</i> 3. <i>Food Assistance ()</i> 4. <i>Pension transfer</i> 5. <i>Idir</i> 6. <i>Emergency fund</i> 7. <i>Other (specify)</i></p>	<p>From whom did you receive this assistance?</p> <p>1. Gov 2. NGOs 3. WFP 4. UN joint Program 5. Other UN 6. Other specify 99. Do not know</p>	<p>How much did you receive (in Birr)?</p>	<p>Who in the household received the CASH assistance?</p> <p>Self.....1 Other household member.....Use ID</p> <p><i>Enumerator: List all that apply.</i></p> <p>Not applicable.....98</p>	<p>Who decided how to spend the CASH?</p> <p>Self.....1 Other household member.....Use ID</p> <p>Other non-household member(s): Female.....44 Male.....45</p> <p><i>Enumerator: List all that apply.</i></p> <p>Not applicable.....98</p>	
D1.01	D1.02	D1.03	D1.04	D1.05	D1.06	
	A					
	B					
	C					
	D					
	E					
	F					
	G					
	H					
	I					
	J					
	K					
	L					
	M					
	N					

MODULE D2: INSTITUTIONAL TRANSFERS & PROGRAMME OPERATIONS – IN-KIND

<p>In the last 12 months, have you or anyone in your household received any assistance or transfer in IN KIND assistance from the government or an organization?</p> <p>Yes 1 No 2 >> D2.05</p>	<p>List all types of assistance received from the government or an organization the last 12 months.</p>	<p>From whom did your household receive this assistance?</p> <p>Code list: 1. Gov 2. NGOs 3. WFP 4. UN joint Program 5. Other UN 6. Other specify 99. Do not know</p>	<p>Who in the household received the IN KIND transfer?</p> <p>Self.....1 Other household member.....Use ID</p> <p><i>Enumerator: List all that apply.</i></p> <p>Not applicable.....98</p>	<p>In the last 12 months, have you or anyone in your household received any training or capacity development from the government or an organization?</p> <p>Yes 1 No 2 >> Next module</p>	<p>List all types of training or capacity development received from the government or an organization in the last 12 months.</p>	<p>From whom did your household receive this assistance?</p> <p>USE CODELIST 1. Gov 2. NGOs 3. WFP 4. UN joint Program 5. Other UN 6. Other specify 99. Do not know</p>	<p>Who in the household received the training?</p> <p>Self.....1</p> <p>Other household member.....Use ID</p> <p>Other non-household member(s): Female.....44 Male.....45</p> <p><i>Enumerator: List all that apply.</i></p> <p>Not applicable.....98</p>	<p>Was the information was useful?</p> <p>NOT AT ALL ..1 SMALL EXTENT2 MEDIUM EXTENT.....3 TO A HIGH EXTENT.....4</p>	<p>Was the information applied since the training received (e.g. to improve household behaviour/or productivity/livelihoods)?</p> <p>Yes 1 No 2 >> Next training</p> <p>Not applicable.....98 >> Next training</p>
D2.01	D2.02	D2.03	D2.04	D2.05	D2.06	D2.07	D2.08	D2.09	D2.10

	A	Agricultural inputs (e.g. pesticides, seeds)				A	Agricultural training					
	B	Livestock kit				B	Financial literacy					
	C	School uniforms and shoes				C	Nutrition					
	D	Scholarship for school fees				D	Gender					
	E	Other (list)				E	Other (list)					
	F					F						
	G					G						
	H					H						
	I					I						
	J					J						
	K					K						
	L					L						
	M					M						
	N					N						

MODULE E1: HOUSEHOLD SHOCKS

<p>During the last 12 months has this household been affected by a serious shock—an event that led to a serious reduction in your asset holdings, caused your household income to fall substantially or resulted in a significant reduction in consumption?</p> <p>Yes.....1 No.....2 >> end of household survey</p>	<p>What shocks did this household have in the last 12 months?</p> <p>Do not prompt and record all that apply up to 5</p> <p>Death of a household member.....1</p> <p>Death of another friend or relative that you depended on for financial assistance.....2</p> <p>Serious injury or illness occurred in the last 12 months.....3</p> <p>Loss of a regular job of a household member.....4</p> <p>Serious family conflict.....5</p> <p>Decrease in remittances and support from family and friends to household.....6</p> <p>Abandonment or divorce.....7</p> <p>Termination of institutional support (not due to death).....8</p> <p>Failure or bankruptcy of business.....9</p> <p>Theft, fire, or destruction of household property (including livestock, vehicles).....10</p> <p>Widespread death/disease of livestock.....11</p> <p>Increase in input price (fertilizer, pesticides, vaccination).....12</p> <p>Increase in food prices.....13</p> <p>Crop failure.....14</p> <p>Loss of livestock.....15</p> <p>Child or teenage pregnancy.....16</p> <p>Severe drought.....17</p> <p>Other (specify)18</p> <p><i>Enumerator: List all that apply.</i></p>	<p>As a consequence of the shock, did your household decrease food consumption?</p> <p>Yes 1 No 2</p>	<p>What strategy was adopted by the household after the shock in order to cope with the situation?</p> <p>Used savings.....1</p> <p>Received help from friends or family.....2</p> <p>Engaged in additional work.....3</p> <p>Sold livestock.....4</p> <p>Sold agricultural tools.....5</p> <p>Sold land.....6</p> <p>Sold consumer durables.....7</p> <p>Obtained a loan.....8</p> <p>Sent children to other household(s).....9</p> <p>Other (specify).....10</p> <p><i>Enumerator: List all that apply.</i></p>
E1.01	E2.02	E3.03	E4.04