

RURAL	*
URBAN	

CENTRAL	*
STATE	

GOVERNMENT OF INDIA
NATIONAL SAMPLE SURVEY ORGANISATION
SOCIO-ECONOMIC SURVEY
FIFTY-FIFTH ROUND : JULY 1999 - JUNE 2000
HOUSEHOLD SCHEDULE 10 : EMPLOYMENT AND UNEMPLOYMENT

[0] descriptive identification of sample household	
state/u.t. :	srl. no. of hamlet :
district :	ward/inv. unit/block :
tehsil/town :	name of head :
village name :	name of informant :

[1] identification of sample household						
item no.	item	code		item no.	item	code
1.	round number	5	5	11.	srl. no. of sample village / block	
2.	schedule number	1	0	12.	household visit number (1 / 2)	
3.	sample (<i>central-1, state-2</i>)			13.	segment (1 / 2)	
4.	sector (<i>rural-1, urban-2</i>)			14.	second-stage stratum	
5.	state - region			15.	sample household no.	
6.	district code			16.	srl. no. of informant	
7.	stratum number				(as in col. 1, block 4)	
8.	sub - round			17.	response code	
9.	sub - sample			18.	survey code	
10.	FOD sub - region			19.	reason for first substitution of original hh. (code)	

CODES FOR BLOCK 1

item 17: response code : informant : co-operative and capable-1, co-operative but not capable-2; busy-3, reluctant-4, others-9.

item 18: survey code : household surveyed : original-1, substitute-2; casualty-3.

item 19: reason for first substitution of original household : informant busy-1, members away from home-2, informant non-cooperative-3, others-9.

* tick mark (√) may be put in the appropriate place.

[2] particulars of field operation												
srl. no.	particulars	investigator			assistant superintendent			superintendent				
(1)	(2)	(3)			(4)			(5)				
1.	i) name											
	ii) code											
2.	date(s) of :	DD	MM	YY	DD	MM	YY	DD	MM	YY		
	(i) survey/ inspection											
	(ii) receipt											
	(iii) scrutiny											
	(iv) despatch											
3.	no. of addl. sheets attached											
4.	total time taken to canvass schedule 10 (minutes)											
5.	signature											

[10] remarks by investigator	[11] comments by supervisory officer(s)

CODES FOR BLOCK 4

- col. (3): **relation to head** : self-1, spouse of head-2, married child-3, spouse of married child-4, unmarried child-5, grand child-6, father/mother/father-in-law/mother-in-law-7, brother/sister/brother-in-law/sister-in-law/other relatives-8, servants/employees/other non-relatives-9.
- col. (6): **marital status** : never married-1, currently married-2, widowed-3, divorced/separated-4.
- col. (7): **educational standard-general** : not literate-01, literate through attending: NFEC/AEC-02, TLC-03, others-04; literate but below primary-05, primary-06, middle-07, secondary-08, higher secondary-09, graduate and above in : agriculture-10, engineering/technology -11, medicine-12, other subjects-13.
- col (8): **educational standard-technical** : no technical education-1, technical degree in agriculture / engineering / technology / medicine etc.- 2, diploma or certificate in: agriculture-3, engineering/technology-4, medicine-5, crafts-6, other subjects-9.
- col (9): **current attendance in educational institution and course of study**: currently not attending any educational institution: never attended: to supplement hh. income-11, other reasons-12;
 ever attended but discontinued studies: to supplement hh. income-13, other reasons-14;
 dropped out: to supplement hh. income-15, other reasons-16;
 currently attending: NFEC/AEC-21, TLC-22; pre-primary-23, primary-24, middle-25, secondary and higher secondary-26, graduate & above in: agriculture-27, engineering/technology-28, medicine-29, other subjects -30;
 diploma or certificate course: agriculture-31, engineering/technology-32, medicine-33, crafts-34, other subjects-35.
- col. (15): **location of last usual residence**: same district: rural-1, urban-2; same state but another district: rural-3, urban-4; another state: rural-5, urban-6; another country-7.
- col. (17): **state/ u.t.** : A.P.-02, Ar.P.-03, Assam-04, Bihar-05, Goa-06, Gujarat-07, Haryana-08, H.P.-09, J &K-10, Karnataka-11, Kerala-12, M.P.-13, Maharashtra-14, Manipur-15, Meghalaya-16, Mizoram-17, Nagaland-18, Orissa-19, Punjab-20, Rajasthan-21, Sikkim-22, T.N.-23, Tripura-24, U.P.-25, W.B.-26, A & N Is.-27, Chandigarh-28, Dadra & Nagar Haveli-29, Daman & Diu-30, Delhi-31, Lakshadweep-32, Pondicherry-33.
- country**: Bangladesh- 51, Nepal- 52, Pakistan- 53, Sri Lanka- 54, Bhutan- 55, Gulf Countries (Saudi Arabia, Iran, Iraq, Kuwait, UAE and other countries of the region)- 56, Other Asian Countries- 57, USA- 58, Canada- 59, Other Countries of North and South America- 60, UK- 61, Other Countries of Europe- 62, Countries of Africa- 63, Rest of the World- 64.
- col. (18): **usual status at the time of migration**: worked in h.h. enterprise (self-employed) : own account worker -11, employer-12, worked as helper in h.h. enterprise (unpaid family worker)-21, worked as regular salaried/wage employee-31, worked as casual wage labour: in public works-41, in other types of work-51; did not work but was seeking and/or available for work-81, attended educational institution-91, attended domestic duties only-92, attended domestic duties and was also engaged in free collection of goods (vegetables, roots, fire-wood, cattle feed, etc.), sewing, tailoring, weaving, etc. for household use-93, rentiers, pensioners, remittance recipients, etc. -94, not able to work due to disability-95, beggars, prostitutes-96, others-97.
- col. (20): **reason for leaving the last usual place of residence**: in search of employment -01, in search of better employment -02, to take up employment/better employment -03, transfer of service/contract -04, proximity to place of work-05, studies -06, acquisition of own house/flat -07, housing problems -08, social/ political problems -09, health -10, marriage -11, migration of parent/earning member of the family -12, others -19.

CODES FOR BLOCK 5.1

- col. (3): **status** : worked in h.h. enterprise (self-employed) : own account worker -11, employer-12, worked as helper in h.h. enterprise (unpaid family worker)-21, worked as regular salaried/wage employee-31, worked as casual wage labour: in public works-41, in other types of work-51; did not work but was seeking and/or available for work-81, attended educational institution-91, attended domestic duties only-92, attended domestic duties and was also engaged in free collection of goods (vegetables, roots, fire-wood, cattle feed, etc.), sewing, tailoring, weaving, etc. for household use-93, rentiers, pensioners, remittance recipients, etc.-94, not able to work due to disability-95, beggars, prostitutes-96, others-97.
- col. (5) : **industry** : 5-digit code as in NIC-1998.
- col. (6) : **occupation** : 3-digit code as in NCO-1968.
- col (8): **no. of subsidiary activities during last 365 days**: one activity-1, two activities-2, three or more activities-3.
- col. (9): **location of workplace: no fixed workplace -10**,
workplace in rural areas and located in: own dwelling-11, own enterprise/unit/office/shop but outside own dwelling -12, employer's dwelling -13, employer's enterprise/unit/office/shop but outside employer's dwelling -14, street with fixed location-15, construction site-16, others -19
workplace in urban areas and located in: own dwelling -21, own enterprise/unit/office/shop but outside own dwelling -22, employer's dwelling -23, employer's enterprise/unit/office/shop but outside employer's dwelling -24, street with fixed location-25, construction site-26, others -29
- col. (10): **enterprise type**: proprietary: male -1, female -2; partnership: with members from same hh. -3, with members from different hh. -4; public sector -5, semi-public -6, others -7 (includes co-operative society, public limited company, private limited company and other units covered under ASI), not known -9
- col. (12): **number of workers**: less than 6 -1, 6 to 9 -2, 10 & above but less than 20 -3, 20 & above -4, not known -9
- col. (14): **whether worked under given specifications**: yes: wholly -1, mainly -2, partly -3; no-4, not known -9
- col. (15): **who provided credit / raw material / equipments**: own arrangement -1, provided by the enterprise: credit only -2, raw material only -3, equipments only -4, credit and raw material only-5, credit and equipments only -6, raw material and equipments only -7, credit, raw material and equipments -8, not known -9
- col. (16): **no. of outlets of disposal**: one outlet -1, two outlets -2, three or more outlets -3; not known -9
- col.(19): **skill**: typist, stenographer-01, word processing-02, computer programming-03, data entry operator-04, fisherman-05, washerman-06, miner, quarryman-07, spinner including charkha operator-08, weaver-09, tailor, cutter-10, decorator-11, shoe-maker, cobbler-12, carpenter-13, mason, bricklayer-14, moulder-15, mechanic-16, machineman-17, craftsman-18, fitter-19, die-maker-20, welder-21, plumber-22, blacksmith-23, goldsmith/silversmith-24, electrician-25, repairer of electronic goods-26, motor vehicle driver, tractor driver-27, boatman-28, potter-29, nurse, midwife-30, basket maker, wicker product maker-31, toy maker-32, sports goods maker-33, brick maker, tile maker-34, bidi maker-35, agarbatti maker-36, bookbinder-37, artist/painter -38, barber-39, mud house builder & thatcher-40, others-41; no skill-99.
- col. (20): **period of seeking/availability for work during last 365 days** : yes: less than 1 month-1, 1 to 3 months -2, 3 to 6 months-3; no-4.

CODES FOR BLOCK 5.2

- col. (3): **status**: codes as in col. 3, block 5.1. (**only codes 11-51 are applicable here**).
- col. (5) : **industry** : 5-digit code as in NIC-1998.
- col. (6) : **occupation** : 3-digit code as in NCO-1968.
- col. (7) : **location of workplace**: codes as in col. 9, block 5.1.
- col. (8): **enterprise type**: codes as in col. 10, block 5.1.
- col. (10): **number of workers**: codes as in col. 12, block 5.1.
- col. (12): **whether worked under given specifications**: codes as in col. 14, block 5.1.
- col. (13): **who provided credit/raw material/equipments**: codes as in col. 15, block 5.1.
- col. (14): **no.of outlets of disposal**: codes as in col. 16, block 5.1.

[6] follow-up questions for persons unemployed on all the 7 days of the week (i.e., code 1 in col. 23 of bl. 5.3)									
srl. no. as in col. 1, bl. 5.3	age (yrs.) as in col. 2 of bl. 5.3	whether ever worked (yes-1, no-2)	for code 1 in col. 3, particulars of last employment						for code 2 in col.8, reason (code)
			duration (code)	status (code)	industry (5-digit code as in NIC-1998)	occupation (3-digit code as in NCO-1968)	reason for break in employment (code)		
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	

CODES FOR BLOCK 6

col. (4): **duration**: only 1 week -1, 1 to 2 weeks -2, 2 weeks to 1 month -3, 1 to 2 months -4, 2 to 3 months -5, 3 to 6 months -6, 6 to 12 months -7, 12 months & above -8

col. (5): **status** : code structure same as in col. (3), block 5.1 (**only codes 11-51 are applicable**).

col. (8): **reason for break in employment** : loss of earlier job-1, quit earlier job-2, lay-off without pay-3, unit has closed down-4, lack of work in the enterprise (for self-employed persons)-5, lack of work in the area (for casual labour)-6, others-9.

col.(9): **reason for quitting job**: work was not remunerative enough-1, unpleasant environment-2, employer harsh-3, health hazard-4, to avail benefits of voluntary retirement-5, others-9.

CODES FOR BLOCK 5.3

cols. (4) and (20): **status** : codes 11, 12, 21, 31, 41, 51 and 91-97 of col. (3), block-5.1 and also the following codes: had work in h.h. enterprise but did not work due to : sickness-61, other reasons-62; had regular salaried/wage employment but did not work due to : sickness-71, other reasons - 72; sought work-81, did not seek but was available for work-82, did not work due to temporary sickness (for casual workers only)-98.

col. (5): **industry division** : 2 digit division codes as per NIC 1998.

col. (6): **operation (for rural areas only)**: manual work in cultivation: ploughing-01, sowing-02, transplanting-03, weeding-04, harvesting-05, other cultivation activities-06; manual work in other agricultural activities: forestry-07, plantation-08, animal husbandry -09, fisheries-10, other agricultural activities-11; manual work in non-agricultural activities-12, non-manual work in : cultivation-13, activities other than cultivation-14.

col. (18): **mode of payment**: **piece rate in cash**: daily-01, weekly-02, fortnightly-03, monthly-04, other-05;
piece rate in kind: daily-06, weekly-07, fortnightly-08, monthly-09, other-10;
piece rate in both cash and kind: daily-11, weekly-12, fortnightly-13, monthly-14, other-15;
other (non-piece) rate in cash: daily-16, weekly-17, fortnightly-18, monthly-19, other-20;
other (non-piece) rate in kind: daily-21, weekly-22, fortnightly-23, monthly-24, other-25;
other (non-piece) rate in both cash and kind: daily-26, weekly-27, fortnightly-28, monthly-29, other-30.

col. (21): **industry** : 5-digit code as in NIC-1998.

col. (22): **occupation** : 3-digit code as in NCO-1968.

[8] follow-up questions for females (code 2 in col. 4, bl.4) with usual activity status code 92 or 93 (in col. 3 of bl. 5.1)				
1.	serial number as in col. 1, bl. 4			
2.	age (years) as in col. 5, bl. 4			
3.	were you required to spend most of your time on domestic duties almost throughout the last 365 days? (yes-1, no-2)			
4.	<i>if code 1 in item 3</i> , reason thereof (no other member to carry out the domestic duties-1, cannot afford hired help-2, for social and/or religious constraints-3, others-9)			
5.	<i>if code 2 in item 3</i> , reason for still pursuing domestic duties (non-availability of work-1, by preference-2, others-9)			
for items 6 to 19 alongwith your domestic duties did you more or less regularly carry out during the last 365 days:				
6.	maintenance of kitchen gardens, orchards etc.? (yes-1, no-2)			
7.	work in household poultry, dairy, etc.? (yes-1, no-2)			
8.	free collection of fish, small game, wild fruits, vegetables, etc. for household consumption? (yes-1, no-2)			
9.	free collection of fire-wood, cowdung, cattle feed etc. for household consumption? (yes-1, no-2)			
10.	husking of paddy for household consumption? (code)			
11.	grinding of foodgrains for household consumption? (code)			
12.	preparation of gur for household consumption? (code)			
13.	preservation of meat and fish for household consumption? (code)			
14.	making baskets and mats for household use? (code)			
15.	preparation of cow-dung cake for use as fuel in the household? (yes-1, no-2)			
16.	sewing, tailoring, weaving etc. for household use? (yes-1, no-2)			
17.	tutoring of own children or others' children free of charge? (yes-1, no-2)			
18.	bringing water from outside the household premises? (yes-1, no-2)			
for rural areas only				
	19. bringing water from outside the village? (yes-1, no-2)			
	20. <i>if yes in item 19</i> : distance in kilometers			
21.	inspite of your pre-occupation in domestic duties, are you willing to accept work if work is made available at your household? (yes-1, no-2)			
if code 1 in item 21	22. the nature of work acceptable (regular full time-1, regular part-time-2, occasional full time-3, occasional part-time-4)			
	23. type of work acceptable (code)			
	24. do you have any skill/experience to undertake that work? (yes-1, no-2)			
	25. what assistance do you require to undertake that work? (code)			

CODES FOR BLOCK 8

items 10-14: yes : commodities produced in own farm/free collection-1, commodities acquired otherwise-2; no-3.

item 23: **type of work acceptable:** dairy -1, poultry -2, other animal husbandry -3, spinning and weaving -4, manufacturing wood and cane products -5, tailoring -6, leather goods manufacturing -7, others -9.

item 25: **whether assistance required:** no assistance -1; yes: initial finance on easy terms -2, working finance facilities -3, easy availability of raw materials -4, assured market -5, training -6, accommodation -7, others -9.

[9] worksheet for recording household consumer expenditure		
item group	value of consumption (Rs)	
	last 30 days	last 365 days
(1)	(2)	(3)
1. cereals & cereal products		
2. pulses & pulse products		
3. milk & milk products		
4. edible oil		
5. vegetables		
6. fruits & nuts		
7. egg, fish & meat		
8. other food items (sugar, salt, spices, beverages, processed food, etc.)		
9. pan, tobacco & intoxicants		
10. fuel & light		
11. total (items 1 to 10)		
12. misc. goods & services (monthly expenditure)		
12.1 cinema / theatre / video show		
12.2 tuition fees		
12.3 newspapers, magazines, fiction		
12.4 medical expenses (non-institutional)		
12.5 toilet articles including washing soap & other cleaning agents		
12.6 regular (commuting type) and other journeys		
12.7 house rent		
12.8 other miscellaneous goods & services		
12.9 sub-total (items 12.1 to 12.8)		
13. misc. goods & services (annual expenditure)		
13.1 school books & other educational articles		
13.2 hospital, nursing home (institutional)		
13.9 sub-total (items 13.1+13.2)		
14. clothing		
15. footwear		
16. durable goods		
16.1 furniture		
16.2 utensils		
16.3 ornaments		
16.4 kitchen equipments		
16.5 vehicles		
16.6 clocks & watches		
16.7 cassettes & records		
16.8 TV, radio, etc.		
16.9 other household appliances		
16.10 repair and maintenance		
16.99 durable goods total (items 16.1 to 16.10)		
17. total (item 13.9+ item 14 + item 15+ item 16.99)		
18. average monthly expenditure for items 13.9, 14, 15 and 16.99 (i.e. item 17 ÷ 12)		
19. monthly total consumer expenditure (item 11 + item 12.9 + item 18)		