

# Zimbabwe - Health Results-Based Financing Impact Evaluation 2014

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## Identification

### SURVEY ID NUMBER

ZWE\_2014\_HRBFIE-HH\_v01\_M

### TITLE

Health Results-Based Financing Impact Evaluation 2014

### SUBTITLE

Household Survey

### COUNTRY/ECONOMY

Name	Country code
Zimbabwe	ZWE

### STUDY TYPE

Other Household Survey [hh/oth]

### SERIES INFORMATION

The Government of Zimbabwe funds its health sector at a level lower than many other Sub-Saharan African countries.<sup>2</sup> As a result, a major financial burden of health care falls on households in the form of out-of-pocket payments, rendering the health system inequitable and inefficient. There is evidence that many poor households have to rely on substandard care or even forgo necessary health care due to their low capacity to pay. In response to this adverse health care scenario, and to operationalize the Results-Based Management Strategy, the Government has been implementing the RBF pilot program through the Health Sector Development Support Project since July 2011. The Government receives grant support from the Health Results Innovation Trust Fund for the RBF program. Cordaid, an international nongovernment organization, serves as a fundholder and provides technical support to the Government to execute RBF functions. The World Bank led the impact evaluation.

### ABSTRACT

The program has three components:

- (i) results-based contracting;
- (ii) management and capacity building; and
- (iii) monitoring.

Under the first component, a portion of financing received by health facilities depends on the quantity and quality of services, with a focus on maternal and child health. User fees have also been abolished on a package of services in districts, with the aim of improving access to care.

The impact evaluation was designed to inform several policy questions including the effects of the RBF pilot program on the utilization and quality of maternal and child health services as well as its effects on health system functioning.

The impact evaluation comprised quantitative and qualitative approaches. The evaluation investigated the impact of RBF over a broad range of targeted and non-incentivized services related to maternal and child health services.

### KIND OF DATA

Sample survey data [ssd]

### UNIT OF ANALYSIS

- Household
- Facility

## Version

### VERSION DESCRIPTION

v01. Edited, anonymized datasets for public distribution.

## Scope

### NOTES

The Health Results-Based Financing Impact Evaluation 2014 (Household Survey) covers topics such as:

- Health Care Coverage
- Maternal and neonatal care
- Child health and immunization
- Corollary evidence on health service utilization from administrative data
  
- Quality of service
- Structural quality
- Process quality
- Corollary evidence on health service utilization from administrative data
- Client satisfaction
  
- Health systems
- Out-of-pocket expenditures
- Task-shifting and non-incentivized activities
- Facility governance and autonomy
- Human resources: health workers' satisfaction and motivation

## Coverage

### GEOGRAPHIC COVERAGE

National

### UNIVERSE

The 32 districts were purposively sampled from a universe of 64 districts in Zimbabwe and then pair-matched on predetermined, observable characteristics.

## Producers and sponsors

### PRIMARY INVESTIGATORS

Name	Affiliation
Jed Friedman	The World Bank

### PRODUCERS

Name	Affiliation	Role
Ashis Das	The World Bank	Co-Principal Investigator
Ronald Mutasa	The World Bank`	Senior Health Specialist and Task Team Leader

### FUNDING AGENCY/SPONSOR

Name	Abbreviation	Role
Health Results Innovation Trust Fund	HRITF	Grant support
Ministry of Finance and Economic Development		Co-Funding

### OTHER IDENTIFICATIONS/ACKNOWLEDGMENTS

Name	Affiliation	Role
Marjolein Dielemen	Royal Tropical Institute, Amsterdam	Senior Advisor

Summit Kane

Royal Tropical Institute, Amsterdam

Health Systems Advisor

## Sampling

### SAMPLING PROCEDURE

The process evaluation applied a retrospective study design and a theory-based evaluation approach that made use of sequential mixed methods. The retrospective design allowed for classification of observations according to the outcomes of interest and retrospectively assessing their exposure and interaction with specific study factors, e.g., contextual factors and intervention design factors. This is facilitated by the theory-based evaluation approach, which examines the interaction between the context, the actors, and the intervention, and then attempts to explain how this interaction works to produce the outcomes of the intervention by interrogating the intervention's formal theory of change. The theory-driven approach sought to explore the influence of contextual factors on interventions and its outcomes through tracking and validating the program impact pathways.

The DHE (District Health Executives) team members, facility managers, health workers, HCCs and health facility catchment communities within World Bank funded RBF districts constituted the sampling frame from which respondents were purposively drawn to participate in a qualitative inquiry. A multistage sampling approach was used to select the Province, Districts, Facilities and Community Members with each using Purposive Sampling although each had varying "purposes" or specific reasons for selection. The cascade sampling first selected three provinces from the eight rural provinces in which RBF operated. The criteria for selection was based on geographic spread to ensure representation from each geo-region. Then within each of the three selected provinces, one or two districts were selected based on their identification as cases of interest by the project implementing entity. A total of four districts were selected.

Finally, the third stage of sampling involved the selection of one high- and one low-performing facility from each selected district. Of note is that the facilities were in part selected based on performance as defined by their actual earnings relative to expected earnings. The classification of performance therefore entailed initially assessing facility performance using quantitative methods and then proceeding to obtain primary qualitative data. The research team collected primary data through in-depth interviews, focus group discussions, and group interviews. The basic principles of analyzing qualitative data were applied. In particular, the processing of data for each facility made use of a desktop matrix analysis of themes drawn from both the conceptual framework and others emerging from transcripts. A comparison of these qualitative data across facilities enabled the research team to identify trends across facilities and to interpret the findings.

### WEIGHTING

Household wealth is estimated using a wealth index, calculated using data on households' ownership of selected assets, materials used for housing construction, and types of water access and sanitation. The full list of variables used to construct this index and their weights is given at

<http://www.dhsprogram.com/programming/wealth%20index/Zimbabwe%20DHS%202010-11/zimbabwe%202010-11.pdf>.

Households are weighted using the DHS sampling weights.

## Data Collection

### DATES OF DATA COLLECTION

Start	End	Cycle
2011-12	2012-02	Baseline
2014-05	2014-08	Follow Up

### DATA COLLECTION MODE

Face-to-face [f2f]

### DATA COLLECTION NOTES

Data were collected at household and facility levels at baseline and at midline from 32 districts serving as the total study sample for the impact evaluation, comprising 16 districts of the 18 districts implementing RBF and 16 control districts not conducting RBF. The 32 districts were purposively sampled from a universe of 64 districts in Zimbabwe and then pair-matched on predetermined, observable characteristics. The matched pairing sought to improve the power of inference and assure balance on observable district and facility characteristics. Additionally, administrative data were extracted to cover the entire study period. Qualitative process monitoring data (from health worker interviews and direct observations) were

also collected.

#### DATA COLLECTORS

Name	Abbreviation	Affiliation
Ministry of Health and Child Care	MOHCC	Government of Zimbabwe

## Questionnaires

#### QUESTIONNAIRES

Zimbabwe's Health Results-Based Financing Impact Evaluation (Household Survey) 2014 has two structured questionnaires (available in English and downloadable under the "Resources" tab):

##### 1. Woman Questionnaire

- a. Cover page
- b. Table of contents
- c. Consent
- d. Knowledge on maternal health
- e. Reproduction
- f. Contraception
- g. Trust in health services
- h. HIV/AIDS and other
- i. Pregnancy and postnatal care
- j. Maternal mental health
- k. Interviewer's Observations

##### 2. Household Questionnaire

- a. Cover page
- b. Table of contents
- c. Consent form
- d. HH roster
- e. Economic activities
- f. HH characteristics
- g. Health status and utilization
- h. Growth monitoring
- i. Child immunization, health and nutrition
- j. Weight, height and MUAC measurement
- k. Interviewer's observation

## Access policy

#### CONTACTS

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#### CITATION REQUIREMENTS

Use of the dataset must be acknowledged using a citation which would include:

- the Identification of the Primary Investigator
- the title of the survey (including country, acronym and year of implementation)
- the survey reference number
- the source and date of download

Example:

Jed Friedman, World Bank. Health Results-Based Financing Impact Evaluation 2014, Household Survey (HRBFIE-HH). Ref. ZWE\_2014\_HRBFIE-HH\_v01\_M. Dataset downloaded from [URL] on [date].

## Disclaimer and copyrights

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## Metadata production

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### DDI DOCUMENT ID

DDI\_ZWE\_2014\_HRBFIE-HH\_v01\_M\_WB

### PRODUCERS

Name	Abbreviation	Affiliation	Role
Development Economics Data Group	DECDG	The World Bank	Documentation of the DDI

### DATE OF METADATA PRODUCTION

2021-06-21

### DDI DOCUMENT VERSION

Version 1 (June 2021)

## Data Dictionary

Data file	Cases	Variables
<b>anthropometry_final_HHpages35_36</b> Section C200 - Weight, Height and MUAC Measurement	2474	36
<b>contraception_final_cleaned</b> Section W300 - Contraception	2031	114
<b>contraception_final_WOMpages8_10</b> Section W300 - Contraception (Pages 8-10)	2197	98
<b>cover_page</b>	1836	25
<b>growthmonitoring_final_HHpages28</b> Section C600 - Growth Monitoring	2564	45
<b>hiv_final_cleaned_full_roster</b> Section W900 - HIV/AIDS and Other	1676	72
<b>hiv_final_WOMpages12_13</b> Section W900 - HIV/AIDS and Other (Pages 12-13)	2106	58
<b>household_schedule_final_HHp4_5</b> Household Schedule	9641	50
<b>housing_final_HHpages7_10</b> Household Characteristics	1836	78
<b>immunisation_final_HHpages30_34</b> Section C500 - Child Immunisation, Health and Nutrition	2564	205
<b>immunisation_hh_final_HHpage34</b> Section C500 - Child Immunisation, Health and Nutrition (Page 34)	1836	58
<b>inpatient_fees_final_HHp22_27</b> Section H200 - Health Status & Utilization	1856	60
<b>inpatient_final_HHpage20</b> Section H200 - Health Status & Utilization (Page 20)	9630	39
<b>inpatient_hh_final_HHpage21</b> Section H200 - Health Status & Utilization (Page 21)	1836	40
<b>knowledge_final_WOMpage5_6</b> Section W100 - Knowledge on maternal health	2128	110
<b>labour_final_HHpage6</b> Section R200 - Economic Activities	9641	36
<b>maternal_final_WOMpages14_22</b> Section W400 - Pregnancy and Postnatal Care	2115	264
<b>mental_final_WOMpage23</b> Section W500 - Maternal Mental Health	2134	54
<b>mental_health_final_cleaned</b>	1626	67
<b>outpatient_final_HHpages11_13</b> Section H200 - Health Status and Utilisation	9672	49
<b>outpatient_final_HHpages14_19</b>	662	59
<b>reproduction_final_cleaned</b> Section W200 - Reproduction	2135	59
<b>reproduction_final_WOMpage7</b> Section W200 - Reproduction	2135	56

**trust\_final WOMpage11**  
Section W600 - Trust in Health Services

2207

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